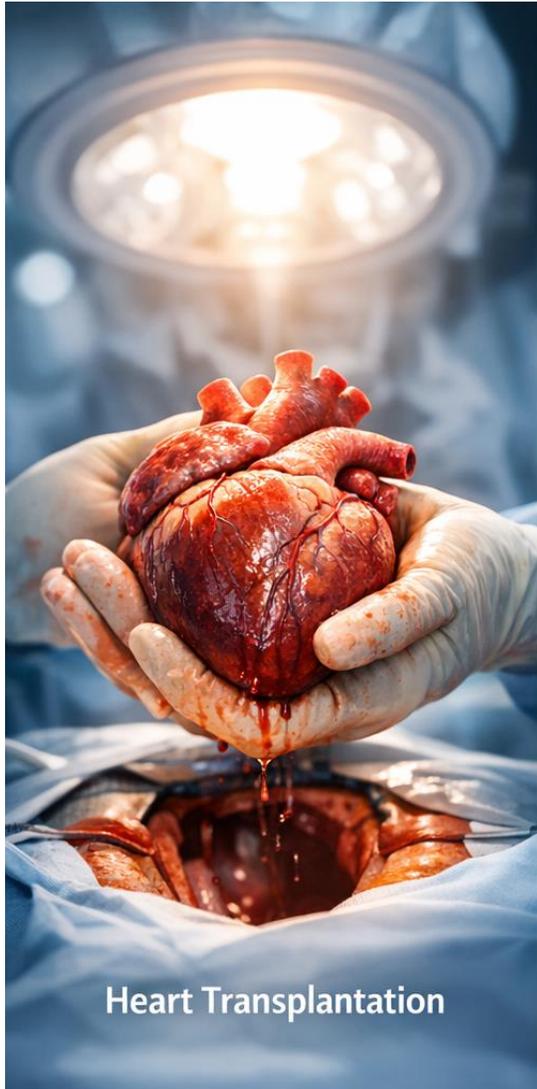


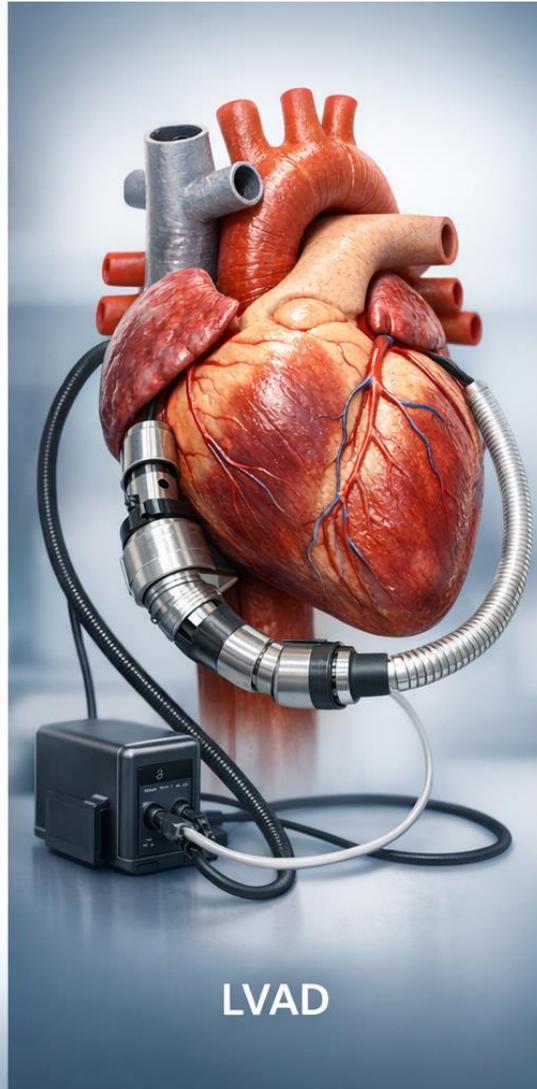
# PATIENT SELECTION FOR ADVANCED HEART FAILURE THERAPIES

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Medical Director, Advanced HF and LVAD  
Associate Medical Director, Cardiac Transplantation  
The Christ Hospital

# ADVANCED HEART FAILURE THERAPIES



Heart Transplantation

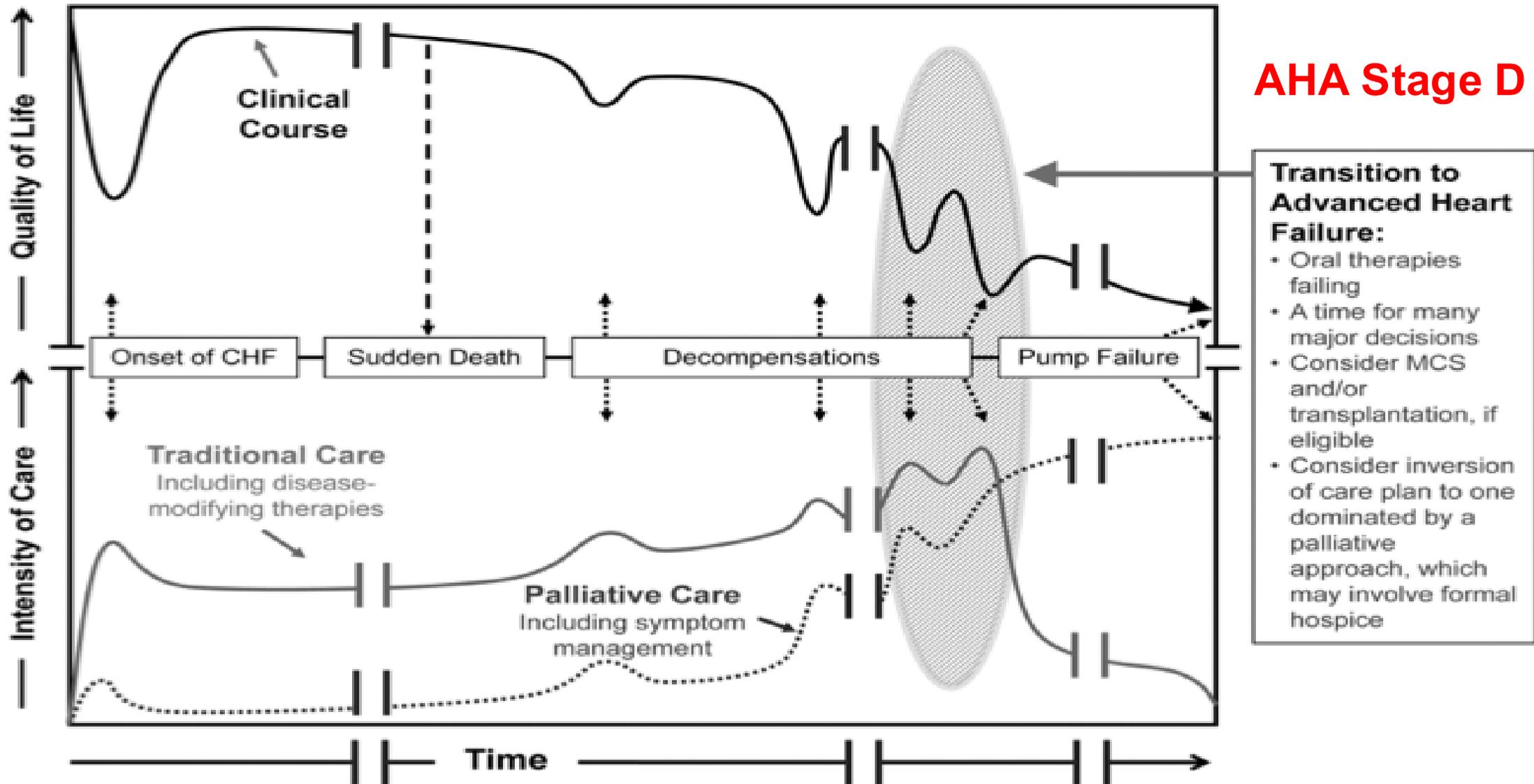


LVAD

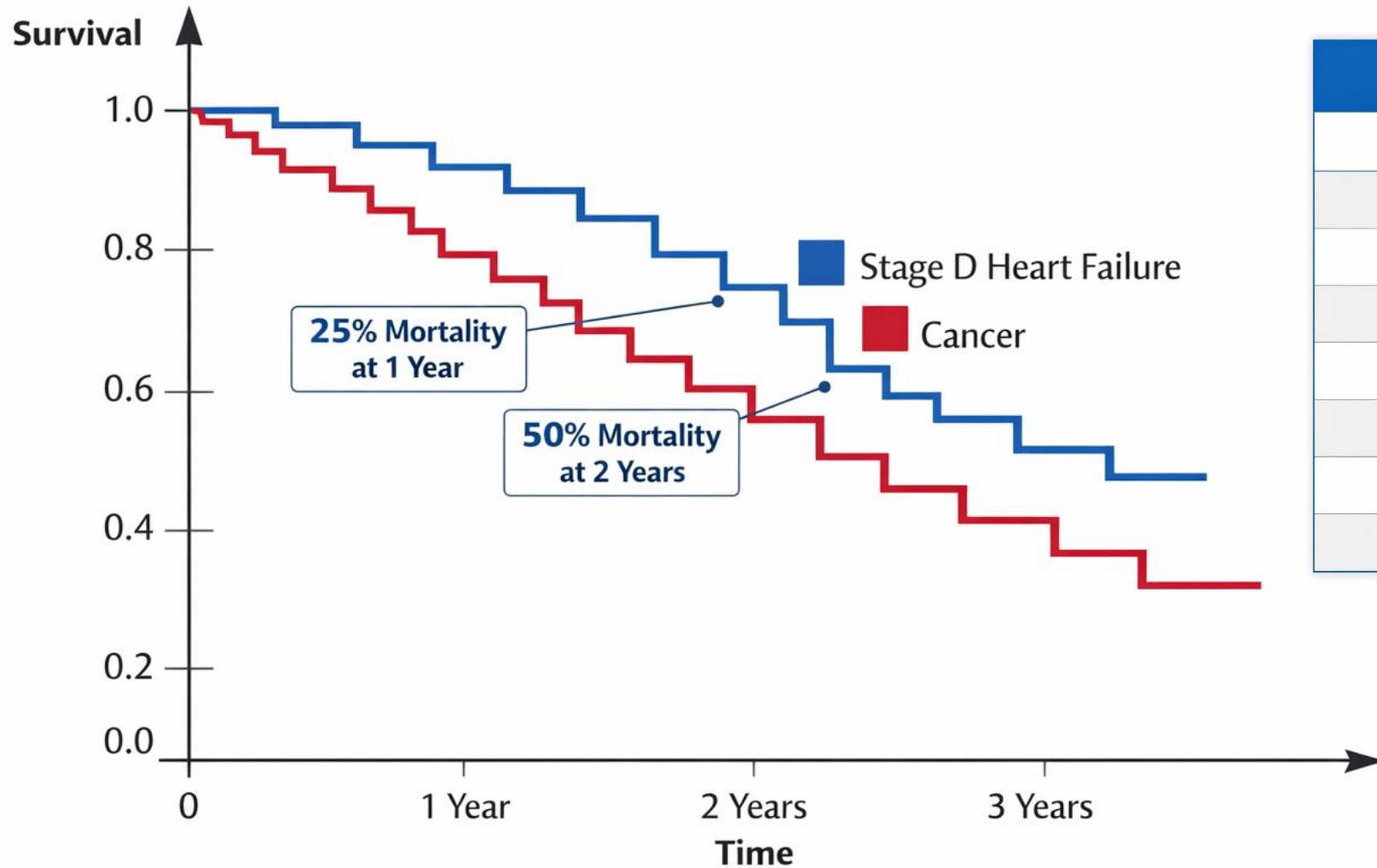


Total Artificial Heart

# NATURAL COURSE OF HEART FAILURE

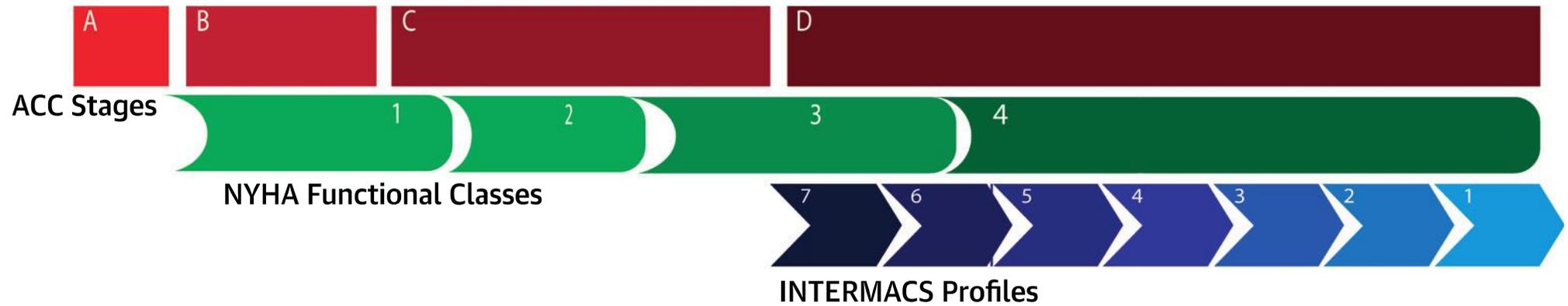


# STAGE D HF MORTALITY RIVALS CANCER



2-Year Mortality Rates (U.S. Adults)	
Cancer Type	2-Year Mortality
Pancreatic	≈ 65–70%
Lung	≈ 45–50%
Brain	≈ 40–45%
Head & Neck	≈ 30–35%
Colon	≈ 20–25%
Breast	≈ 8–10%
Prostate	≈ 2–4%

# HF CLASSIFICATION



## **ACC Stages**

A: Patient is at high risk for developing heart failure but has no functional or structural heart disorder

B: Structural heart disorder without symptoms

C: Past or current symptoms or heart failure associated with structural disorder

D: Advanced heart disease requiring hospital-based support, transplant, or palliative care

## **NYHA Functional Classes**

I: No limitation in normal physical activity

II: Mild symptoms with normal activity

III: Markedly symptomatic during daily activities, asymptomatic only at rest

IV: Severe limitations, symptoms even at rest

## **INTERMACS Profiles**

Profile 1: Critical Cardiogenic Shock

Profile 2: Progressive Decline

Profile 3: Stable, But Inotrope Dependent

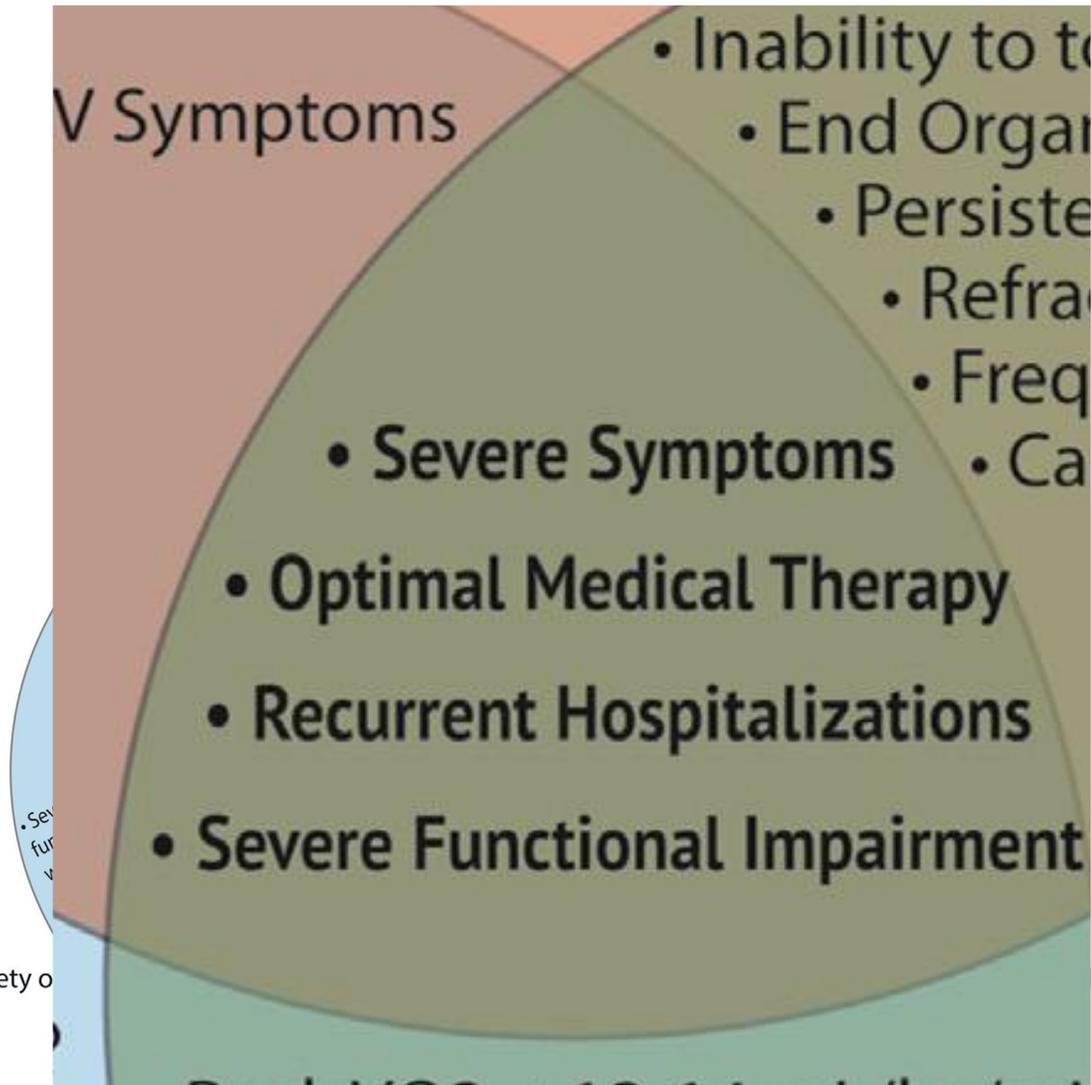
Profile 4: Resting Symptoms

Profile 5: Exertion Intolerant

Profile 6: Exertion Limited

Profile 7: Advanced NYHA Class III

# STAGE D - ADVANCED HEART FAILURE CHARACTERISTICS



- Symptoms
  - NYHA class IIIB & IV
- Substrate
  - Cardiomyopathy, Valve disease, Arrhythmias, CAD
- Hemodynamics
  - Elevated intracardiac filling pressures
  - Low cardiac output
- Risk Factors
  - Recurrent HF admissions, ICD shocks, CPET results, Biomarkers

# ADVANCED HEART FAILURE PATIENTS



“Crash & Burn”- Profiles 1,2



“Walking Wounded” – Profiles 4-7

**Table 2**

**INTERMACS Patient Profiles (20)**

Level	Definition	Description
1	Critical cardiogenic shock	“Crash and burn”
2	Progressive decline	“Sliding fast”
3	Stable but inotrope dependent	Stable but dependent
4	Recurrent advanced HF	“Frequent flyer”
5	Exertion intolerant	“Housebound”
6	Exertion limited	“Walking wounded”
7	Advanced NYHA class III	Advanced NYHA class III

HF = heart failure; NYHA = New York Heart Association.

# CARDIOGENIC SHOCK – PROFILES 1-3

**FIGURE 4** SCAI Classification of CS

		Physical Exam	Laboratory Values	Hemodynamics
<b>E</b> Extremis	A patient that is experiencing circulatory collapse with ongoing CPR or with ongoing clinical instability despite being supported by multiple interventions	<ul style="list-style-type: none"> <li>- Near Pulselessness</li> <li>- Cardiac Collapse</li> <li>- Defibrillation</li> <li>- Mechanical Ventilation</li> </ul>	<ul style="list-style-type: none"> <li>- pH &lt; 7.2</li> <li>- Lactate &gt; 5</li> </ul>	<ul style="list-style-type: none"> <li>- No SBP w/out resuscitation</li> <li>- Ongoing shock despite maximal support</li> </ul>
<b>D</b> Deteriorating	A Category C patient who has failed to improve despite initial interventions.	<ul style="list-style-type: none"> <li>- Looks unwell</li> <li>- Hypervolemic</li> <li>- Cold, Clammy</li> <li>- Low UOP</li> <li>- AMS</li> </ul>	<ul style="list-style-type: none"> <li>- Doubling or Cr or &gt; 50% rise in Cr</li> <li>- Abnormal LFTs</li> <li>- Elevated BNP</li> <li>- Lactate &gt; 2</li> </ul>	<ul style="list-style-type: none"> <li>- CI &lt; 2.2</li> <li>- PCWP &gt; 15</li> <li>- CVP/PCWP &gt; 0.8</li> <li>- PAPI &lt; 1.85</li> <li>- CPO &lt; 0.6</li> </ul>
<b>C</b> Classic	A patient that develops hypoperfusion requiring intervention (inotropes, vasopressors, mechanical circulatory support).	<ul style="list-style-type: none"> <li>- Looks unwell</li> <li>- Hypervolemic</li> <li>- Cold, Clammy</li> <li>- Low UOP</li> <li>- AMS</li> </ul>	<ul style="list-style-type: none"> <li>- Doubling or Cr or &gt; 50% rise in Cr</li> <li>- Abnormal LFTs</li> <li>- Elevated BNP</li> <li>- Lactate &gt; 2</li> </ul>	<ul style="list-style-type: none"> <li>- CI &lt; 2.2</li> <li>- PCWP &gt; 15</li> <li>- CVP/PCWP &gt; 0.8</li> <li>- PAPI &lt; 1.85</li> <li>- CPO &lt; 0.6</li> </ul>
<b>B</b> Beginning	A patient who has clinical evidence of relative hypotension and/or tachycardia without evidence of hypoperfusion	<ul style="list-style-type: none"> <li>- Elevated JVP</li> <li>- Rales in lungs</li> <li>- Warm and well perfused</li> <li>- Normal mentation</li> </ul>	<ul style="list-style-type: none"> <li>- Minimal renal dysfunction</li> <li>- Elevated BNP</li> <li>- Normal Lactate</li> </ul>	<ul style="list-style-type: none"> <li>- SBP &lt; 90 or MAP &lt; 60 or SBP &lt; 30 mmHg below baseline</li> <li>- HR &gt; 100</li> <li>- CI &gt; 2.2, PA Sat &gt; 65</li> </ul>
<b>A</b> At Risk	A patient who is not currently experiencing signs or symptoms of CS, but is at risk.	<ul style="list-style-type: none"> <li>- Normal JVP</li> <li>- Warm and well perfused</li> <li>- Strong pulses</li> <li>- Normal mentation</li> </ul>	<ul style="list-style-type: none"> <li>- Normal end organ function</li> <li>- Normal Lactate</li> </ul>	<ul style="list-style-type: none"> <li>- CI &gt; 2.5 L/min/m<sup>2</sup></li> <li>- CVP &lt; 10</li> <li>- PA Sat &gt; 65%</li> </ul>

# ADVANCED HF – THE WALKING WOUNDED

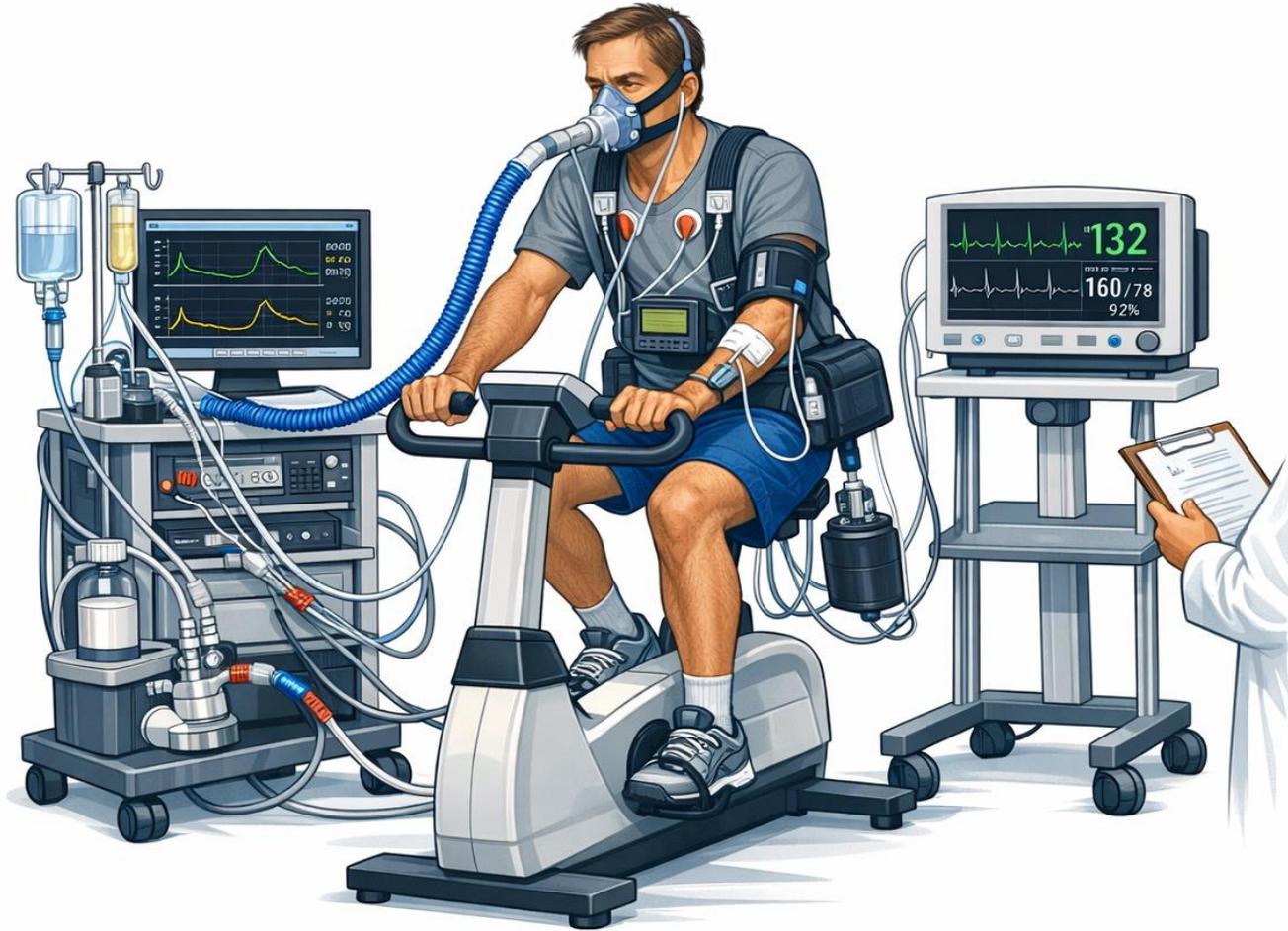
- Recurrent HF Hospitalizations
- CRT Non-Responders
- Need for Inotropes
- Neuro-hormonal antagonist doses limited by hypotension and renal dysfunction
- Diuretic Resistance
- Persistent symptoms with ADLs
- Cardio-renal syndrome



# HF HOSPITALIZATION – A SENTINEL EVENT

Timeframe 	Estimated Mortality Rate	Key Details
In-Hospital	3% – 8%	Rates vary by age and severity; recent trends show a decrease in-hospital but not post-discharge.
30 Days	6% – 10%	The first 30 days are considered a "vulnerable phase" with high risks of readmission and death.
90 Days	11% – 15%	Risks are nearly tripled for patients with social determinants of health (SDOH) like social isolation.
1 Year	27% – 37%	Mortality is higher than many common cancers and often linked to high readmission rates (up to 53%).
5 Years	~69%	Median survival for newly hospitalized HF patients is approximately <b>2.4 years</b> .
10 Years	~83%	Less than 1 in 5 patients survive 10 years post-hospitalization.

# CARDIOPULMONARY EXERCISE TEST



CPET Parameter	Threshold Supporting Transplant Candidacy
Peak $\text{VO}_2$ (mL/kg/min)	$< 14$ mL/kg/min
Percent-predicted Peak $\text{VO}_2$	$\leq 50\%$ predicted
$\text{VE}/\text{VCO}_2$ Slope	$> 35$
Exercise Oscillatory Ventilation	Present
Anaerobic Threshold ( $\text{VO}_2$ at AT)	$< 11$ mL/kg/min
Respiratory Exchange Ratio (RER)	$\geq 1.05$

# ADVANCED HEART FAILURE PATIENTS

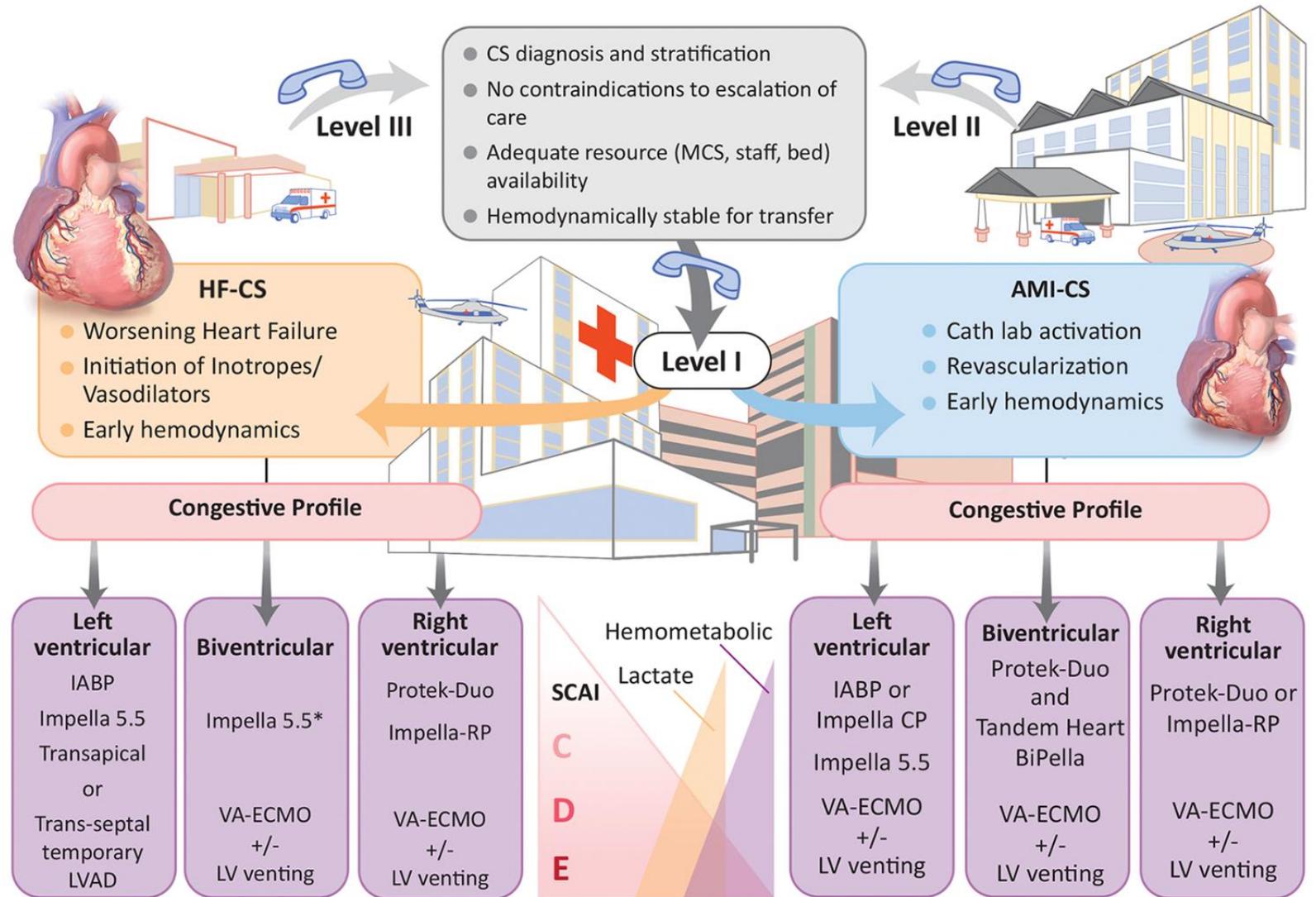
<b>I</b>	IV inotropes
<b>N</b>	NYHA IIIB/IV or persistently elevated natriuretic peptides
<b>E</b>	End-organ dysfunction (Cr > 1.8 mg/dL or BUN > 43 mg/dL)
<b>E</b>	EF ≤ 35%
<b>D</b>	Defibrillator shocks
<b>H</b>	Hospitalizations > 1
<b>E</b>	Edema (or elevated PA pressure) despite escalating diuretics
<b>L</b>	Low blood pressure, high heart rate
<b>P</b>	Prognostic medication — progressive intolerance or down-titration GDMT

## ADDITIONAL CONSIDERATIONS FOR REFERRAL:

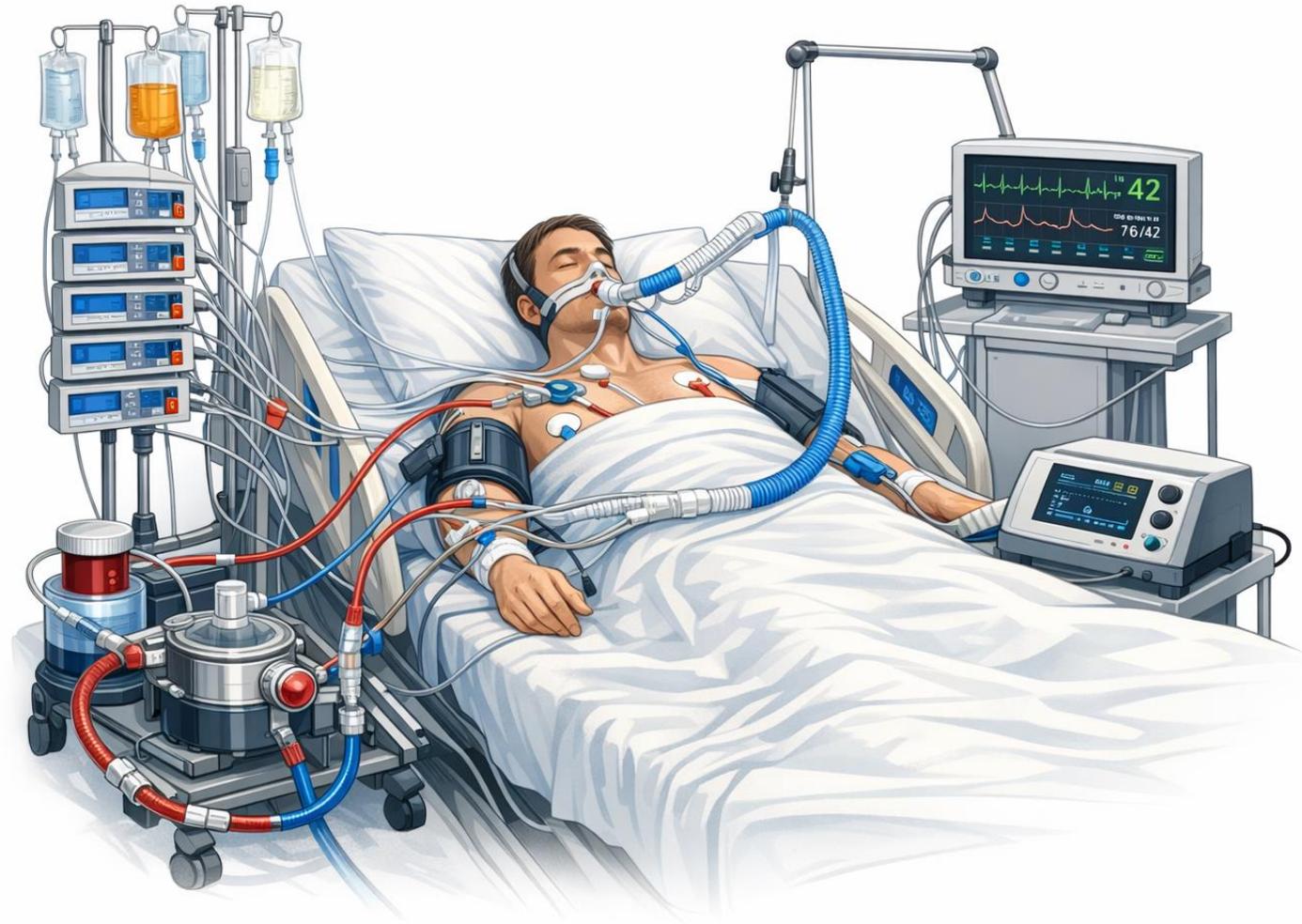
- CRT non-responder
- Physical activity limited or impaired QOL

# TEMPORARY MCS TO RESCUE CARDIOGENIC SHOCK

- Recognition
- Initiation of vasopressors and inotropes
- Evaluation with Swan-ganz catheter and bloodwork
- Insertion of temporary MCS device



# INTERMACS 1-3 – CARADIOGENIC SHOCK

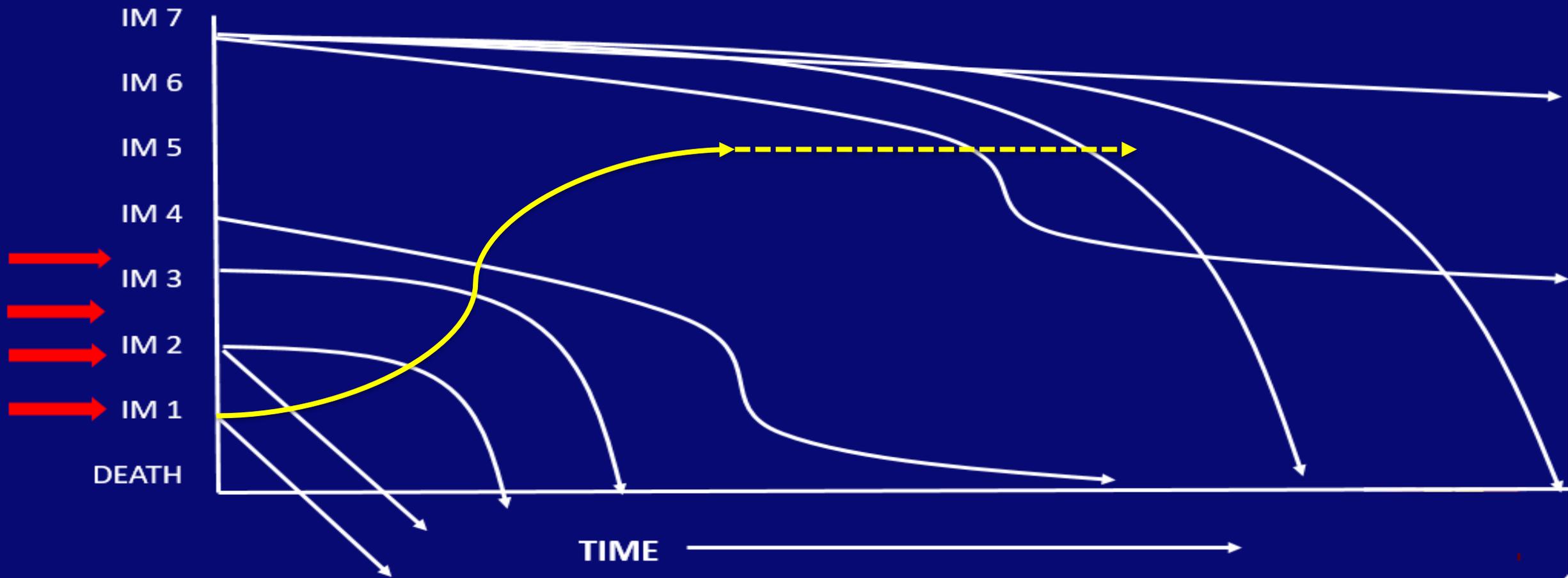


Will ventricular function  
**RECOVER?**

If not requires

- Heart Transplant
- LVAD
- Total Artificial Heart

# UNPREDICTABLE COURSE OF ADVANCED HEART FAILURE

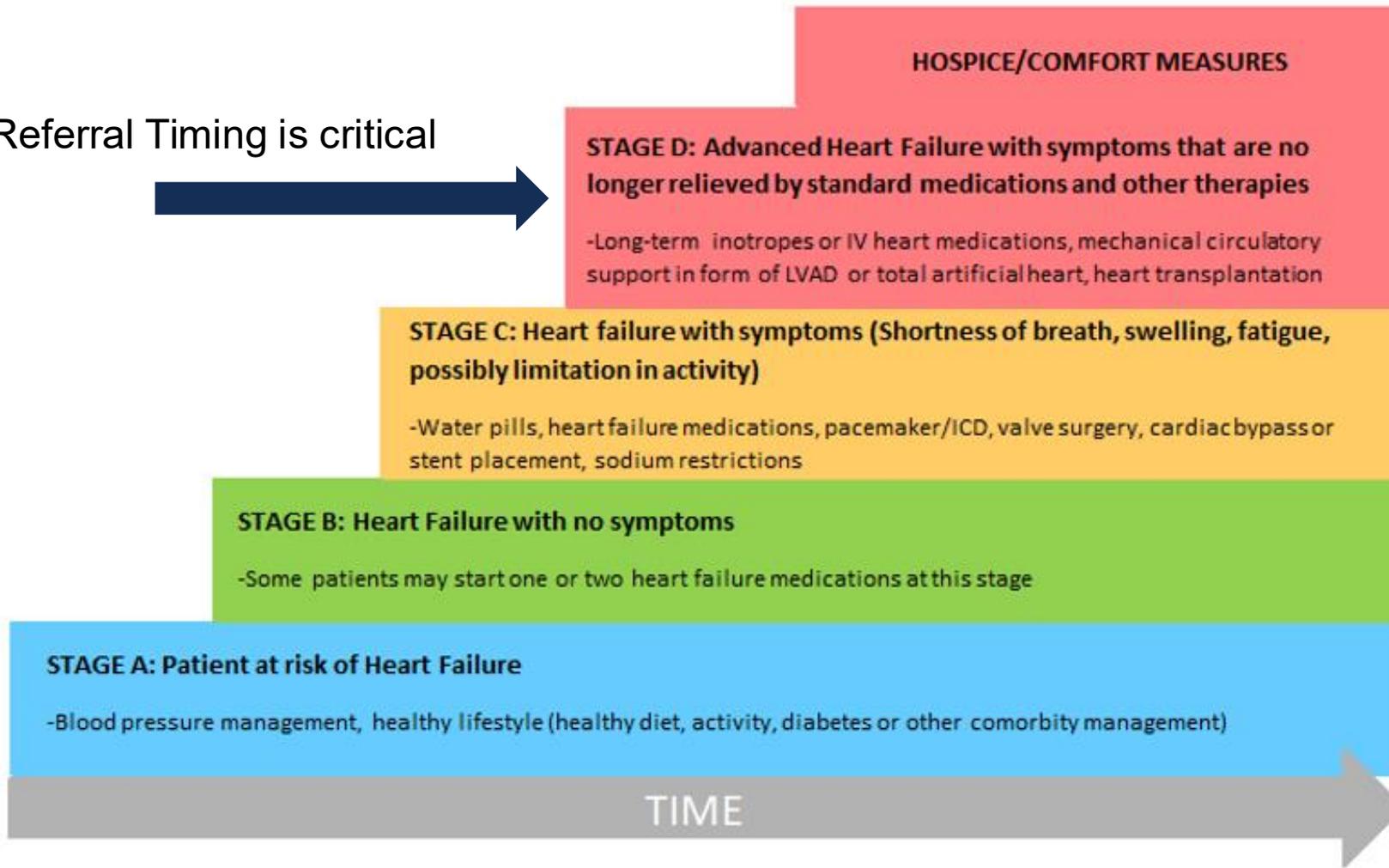


# PREDICTORS OF RECOVERY

Category	High Recovery Likelihood	Poor Recovery Likelihood
<b>Cardiac Etiology</b>	<b>Reversible/Acute Insults:</b> <ul style="list-style-type: none"><li>• <b>Newly diagnosed</b> (de novo) HF</li><li>• <b>Tachy-related</b> cardiomyopathy</li><li>• <b>EtOH-induced</b> cardiomyopathy</li><li>• <b>Takotsubo</b> syndrome</li><li>• Acute Myocarditis</li></ul>	<b>Chronic/Refractory Disease:</b> <ul style="list-style-type: none"><li>• Established HF <b>on GDMT prior to admission</b></li><li>• Known low LVEF with chronic remodeling</li><li>• Prior failed attempts at weaning</li></ul>
<b>Hemodynamics</b>	<ul style="list-style-type: none"><li>• CPO <math>\geq 0.6</math> W</li><li>• Pulse Pressure <math>\geq 52</math> mmHg</li><li>• PAPI <math>\geq 1.0</math> (RV health)</li></ul>	<ul style="list-style-type: none"><li>• PAWP <math>\geq 20</math> mmHg</li><li>• CPO <math>&lt; 0.5</math> W</li><li>• High filling pressures despite support</li></ul>
<b>Metabolic</b>	<ul style="list-style-type: none"><li>• Lactate <math>&lt; 2.0</math> mmol/L</li><li>• Rapid lactate clearance</li><li>• Improving renal/hepatic function</li></ul>	<ul style="list-style-type: none"><li>• Persistently high lactate (<math>&gt; 4.0</math>)</li><li>• Developing multi-organ failure</li></ul>
<b>Imaging</b>	<ul style="list-style-type: none"><li>• LVOT VTI <math>\geq 12</math> cm</li><li>• LVEF <math>&gt; 25\%</math> during weaning trial</li><li>• Restoration of TIMI 3 flow (if MI)</li></ul>	<ul style="list-style-type: none"><li>• Severe, fixed RV dysfunction</li><li>• Severe LV dilatation (LVEDD <math>&gt; 6.5</math> cm)</li><li>• Residual multivessel CAD</li></ul>

# TIMING OF REFERRAL - RECOGNIZING STAGE D

Referral Timing is critical



THERE IS A



FOR ADV HF  
REFERRALS!

# TIMING OF REFERRAL



## INTERMACS 1-3

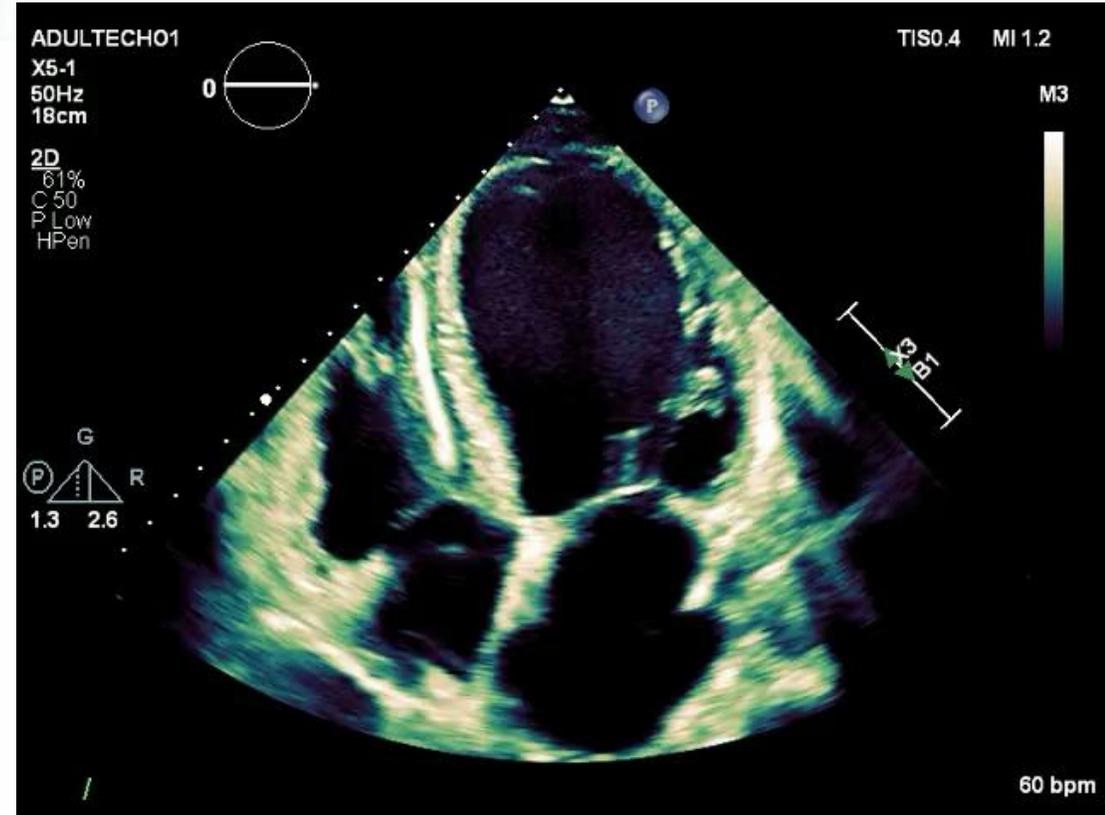
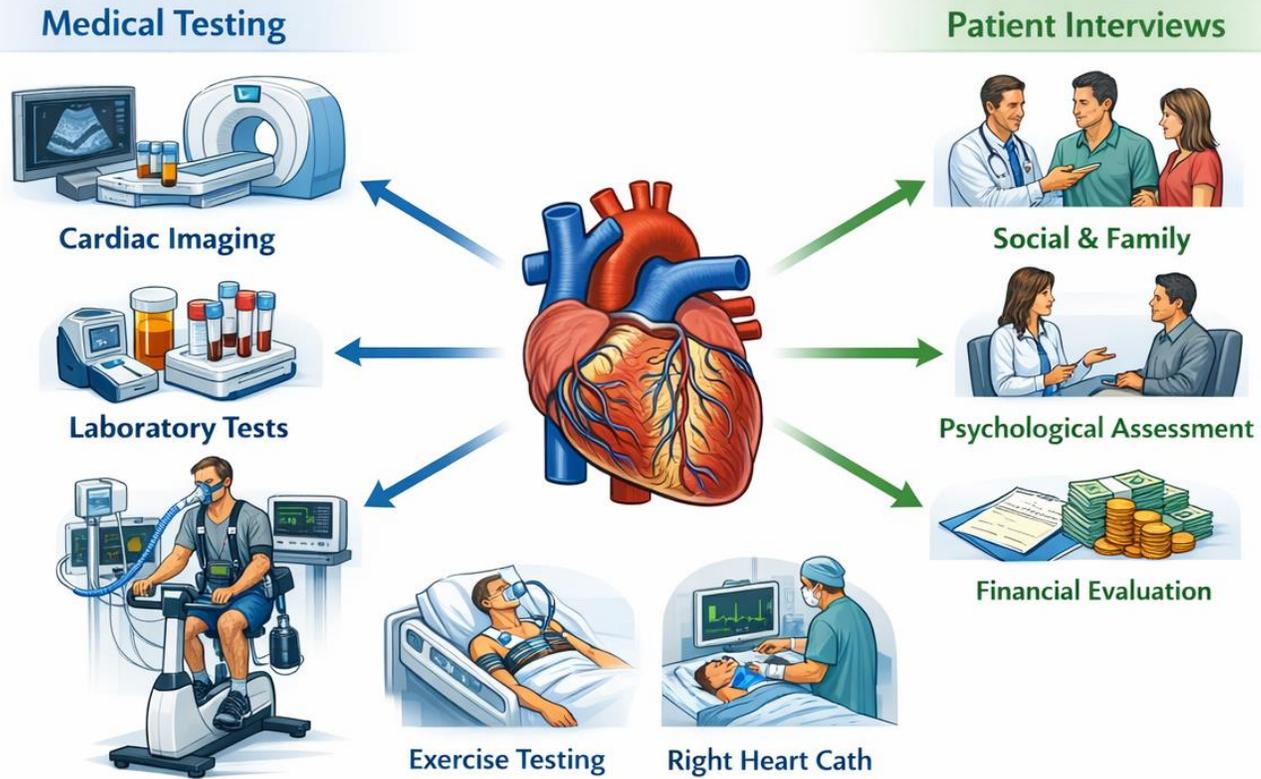
Higher post HT & LVAD mortality  
Longer stays in the hospital  
More RV failure pre and post LVAD



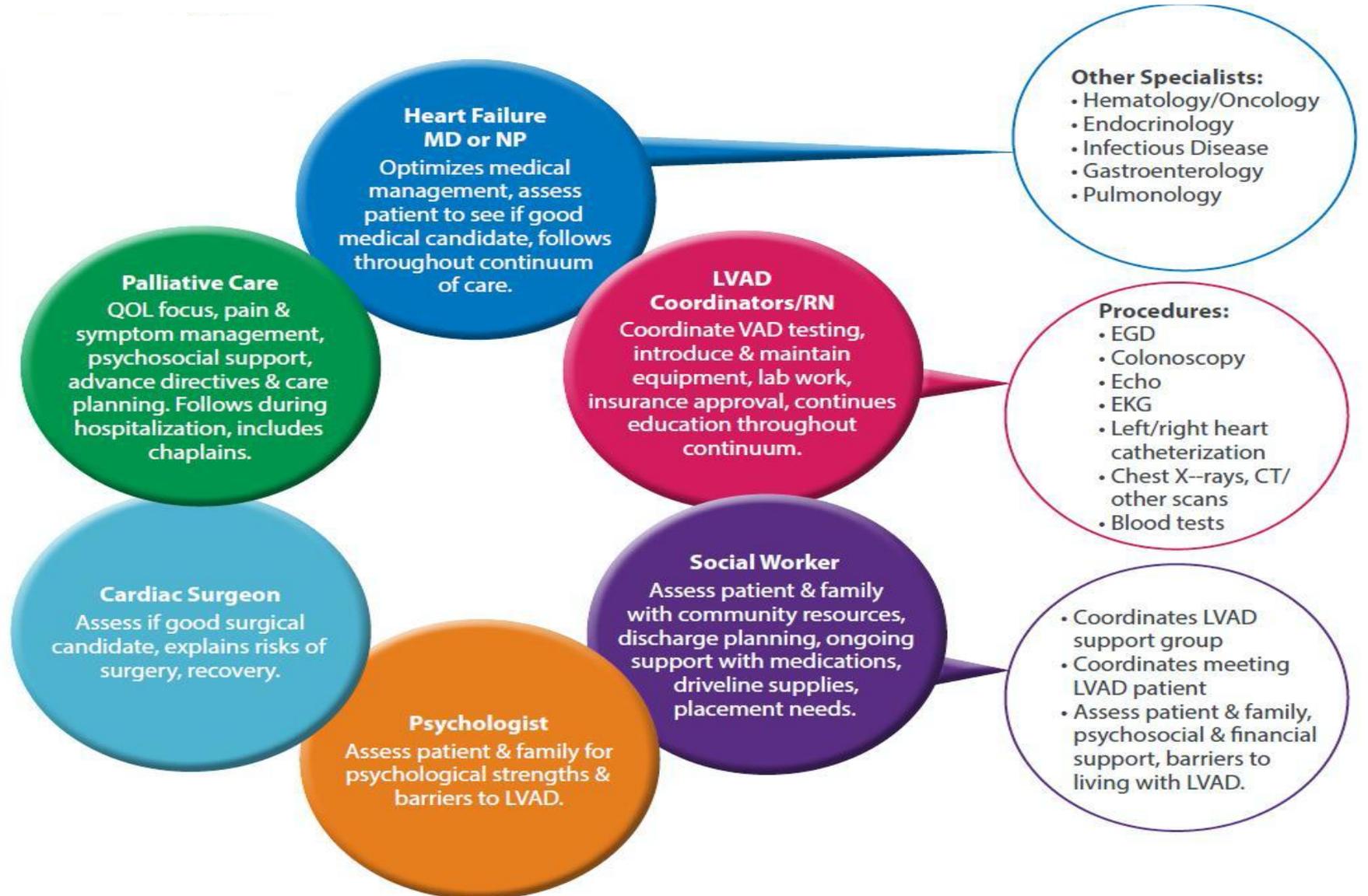
## INTERMACS 4-7

More of an elective surgery  
Too early? – subject patients to the constant  
Hazard of adverse events post HT and LVAD

# EVALUATION PROCESS



# MULTIDISCIPLINARY TEAM SELECTION CONFERENCE



# CONTRAINDICATIONS TO OHT & LVAD

Absolute Contraindications	Relative Contraindications	Relative Contraindications	Absolute Contraindications
<ul style="list-style-type: none"> <li>• Systemic Illness with a life expectancy &lt; 2 years</li> <li>• Fixed Pulmonary Hypertension</li> </ul>	<ul style="list-style-type: none"> <li>• Age &gt; 72 years old</li> <li>• Any active infection (with the exception of device related infections in VAD)</li> <li>• Severe diabetes with end-organ damage</li> <li>• Severe peripheral vascular disease or cerebrovascular disease</li> <li>• Active peptic ulcer disease</li> <li>• Morbid obesity or cachexia</li> <li>• Creatinine &gt; 2.5 or creatinine clearance &lt; 25</li> <li>• FEV1 &lt; 40% expected</li> <li>• Difficult to control hypertension</li> <li>• Irreversible neurologic or neuromuscular disorder</li> <li>• Active mental illness or psychosocial instability</li> <li>• Medical nonadherence</li> <li>• Drug, tobacco, alcohol use within 6 mos.</li> <li>• Liver dysfunction with total bilirubin &gt; 2.5, serum transaminases &gt; 3x normal, and/or INR &gt;1.5 off warfarin</li> <li>• Heparin induced thrombocytopenia within 100 days</li> </ul>	<ul style="list-style-type: none"> <li>• Age &gt; 80</li> <li>• Morbid obesity or cachexia</li> <li>• Musculoskeletal disease that impairs rehabilitation</li> <li>• Active systemic infection or prolonged intubation</li> <li>• Untreated malignancy</li> <li>• Severe peripheral vascular disease or cerebrovascular disease</li> <li>• Drug, tobacco, alcohol use within 6 mos.</li> <li>• Impaired cognitive function</li> <li>• Psychosocial instability</li> </ul>	<ul style="list-style-type: none"> <li>• Irreversible hepatic disease</li> <li>• Irreversible renal disease</li> <li>• Irreversible neurologic or neuromuscular disorder</li> <li>• Medical nonadherence</li> <li>• Active mental illness or psychosocial instability</li> </ul>

## Heart Transplantation

## Left Ventricular Assist Device

These patients might be eligible for an LVAD!

**+ Drug Screen**

- Illicit substances
- Marijuana (inhaled)
- Nicotine

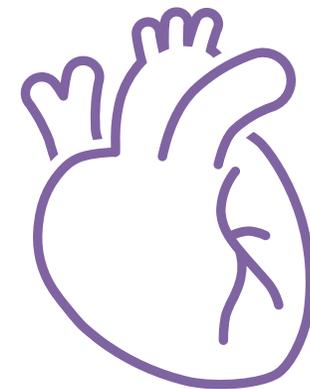
**Age >72 years old**

**Morbid Obesity (BMI above 40)**

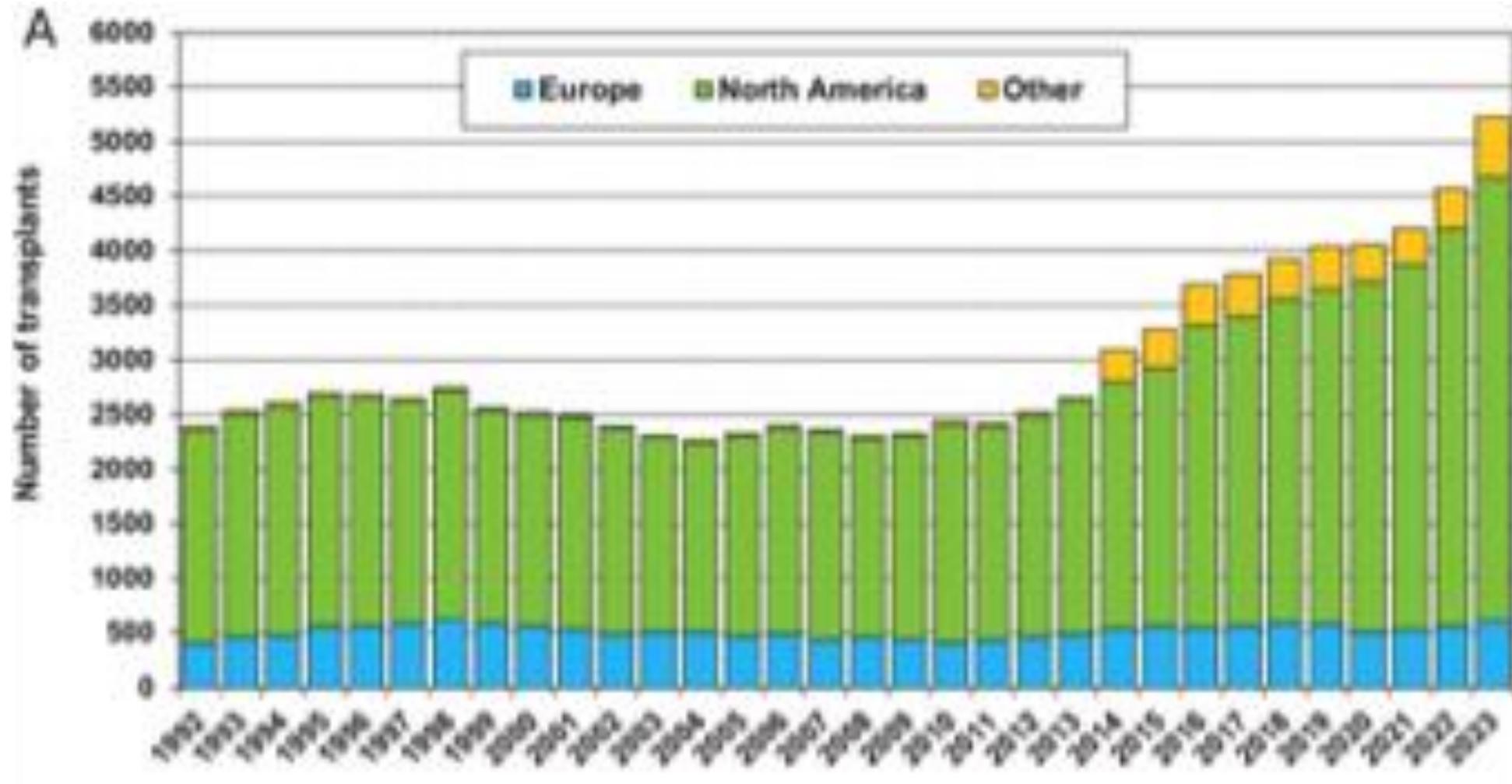
**Uncontrolled Diabetes**

**Severe Pulmonary Hypertension**

**Most Common Exclusions for Transplant**



# HEART TRANSPLANT NUMBERS



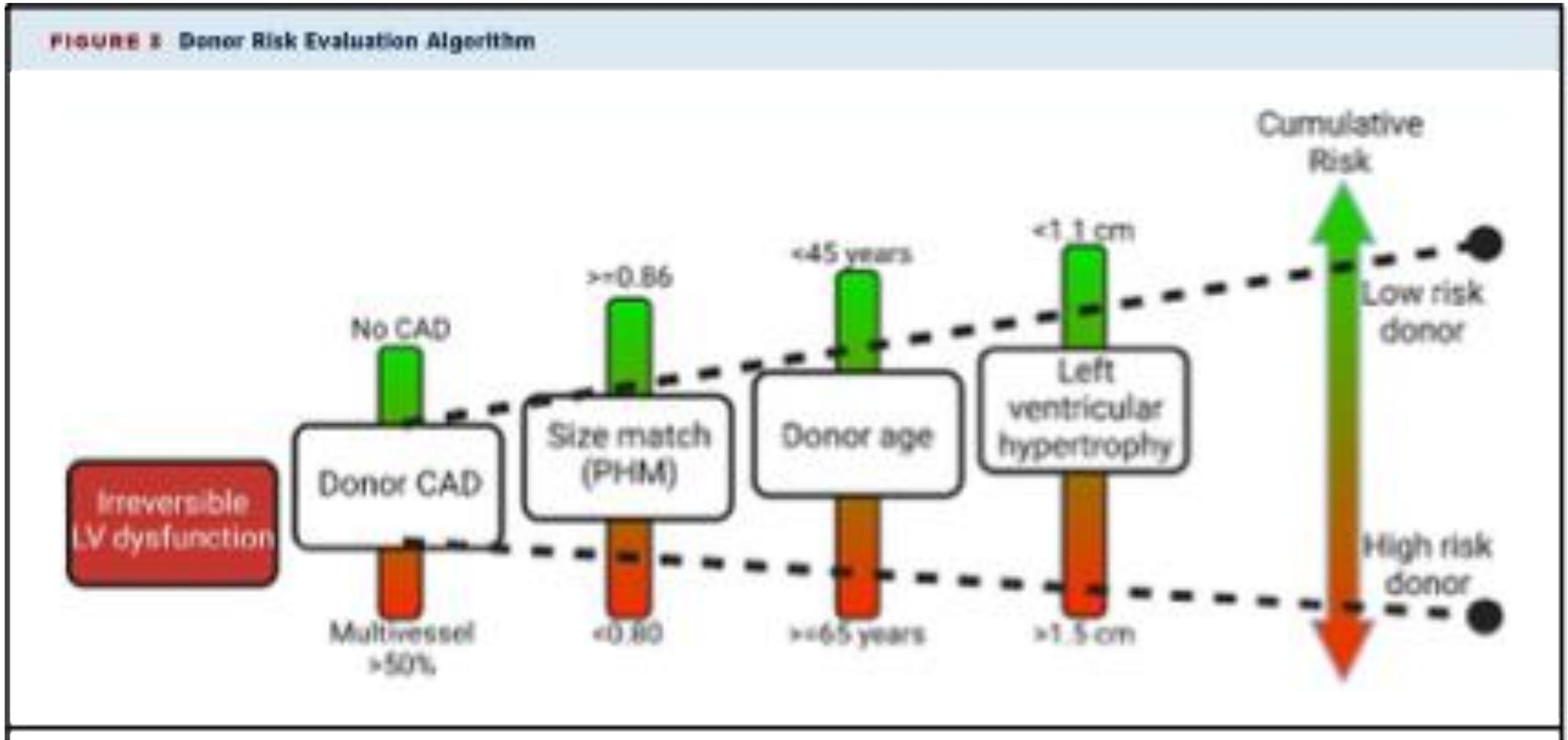
# WAITLIST PATIENTS EXCEED NUMBER OF TRANSPLANTS

**FIGURE 1** Numbers of patients on the United States Heart Transplant Waiting List vs Those Transplanted Between 2000 and 2023



This is based on data made available by Organ Procurement and Transplantation Network.<sup>REF</sup>

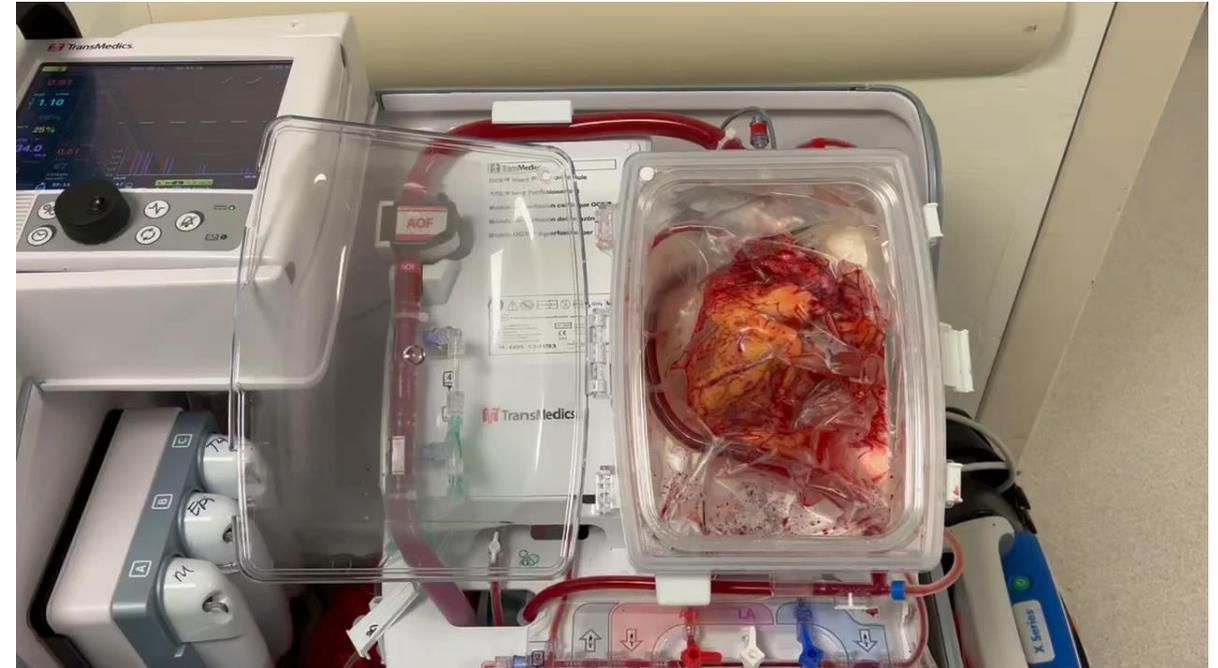
# DONOR SELECTION



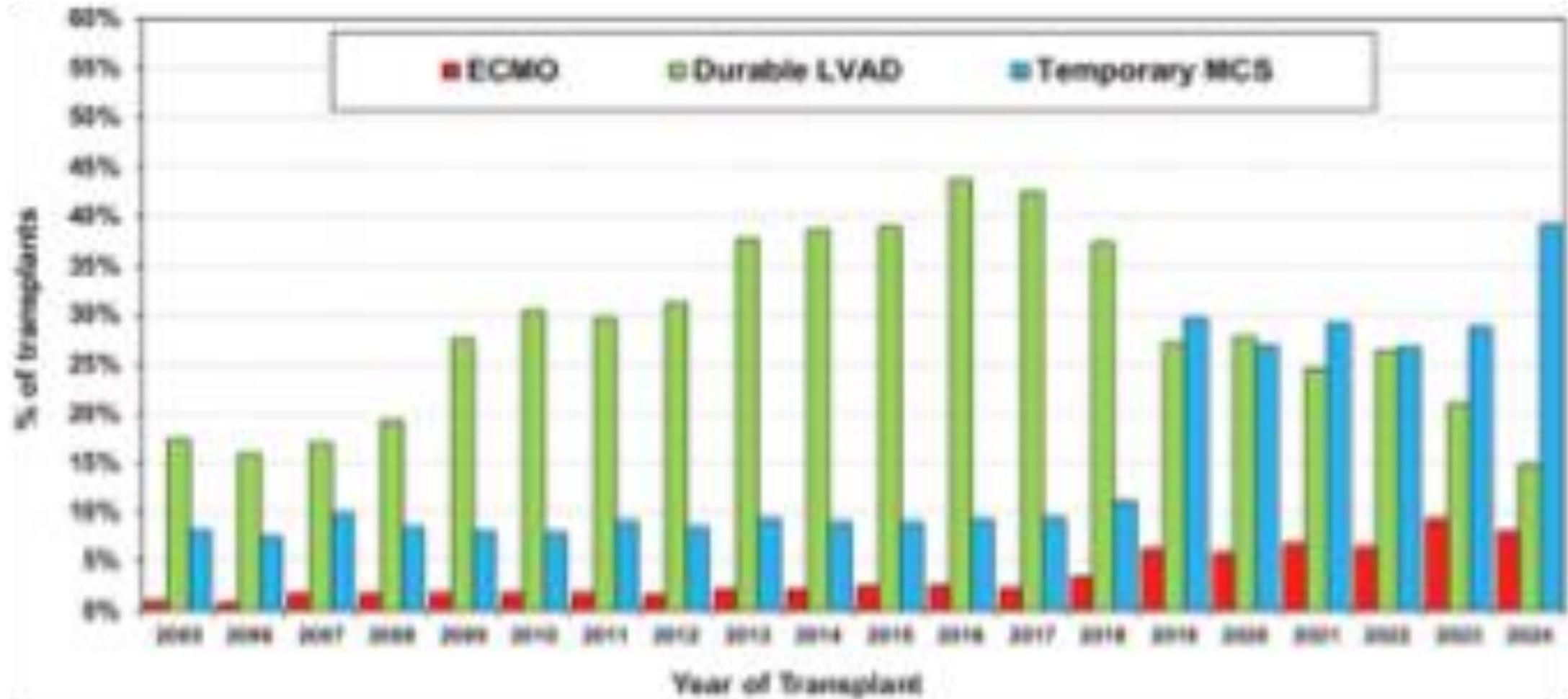
# ORGAN PROCUREMENT

**TABLE 2: Comparison of Different Organ Preservation Systems**

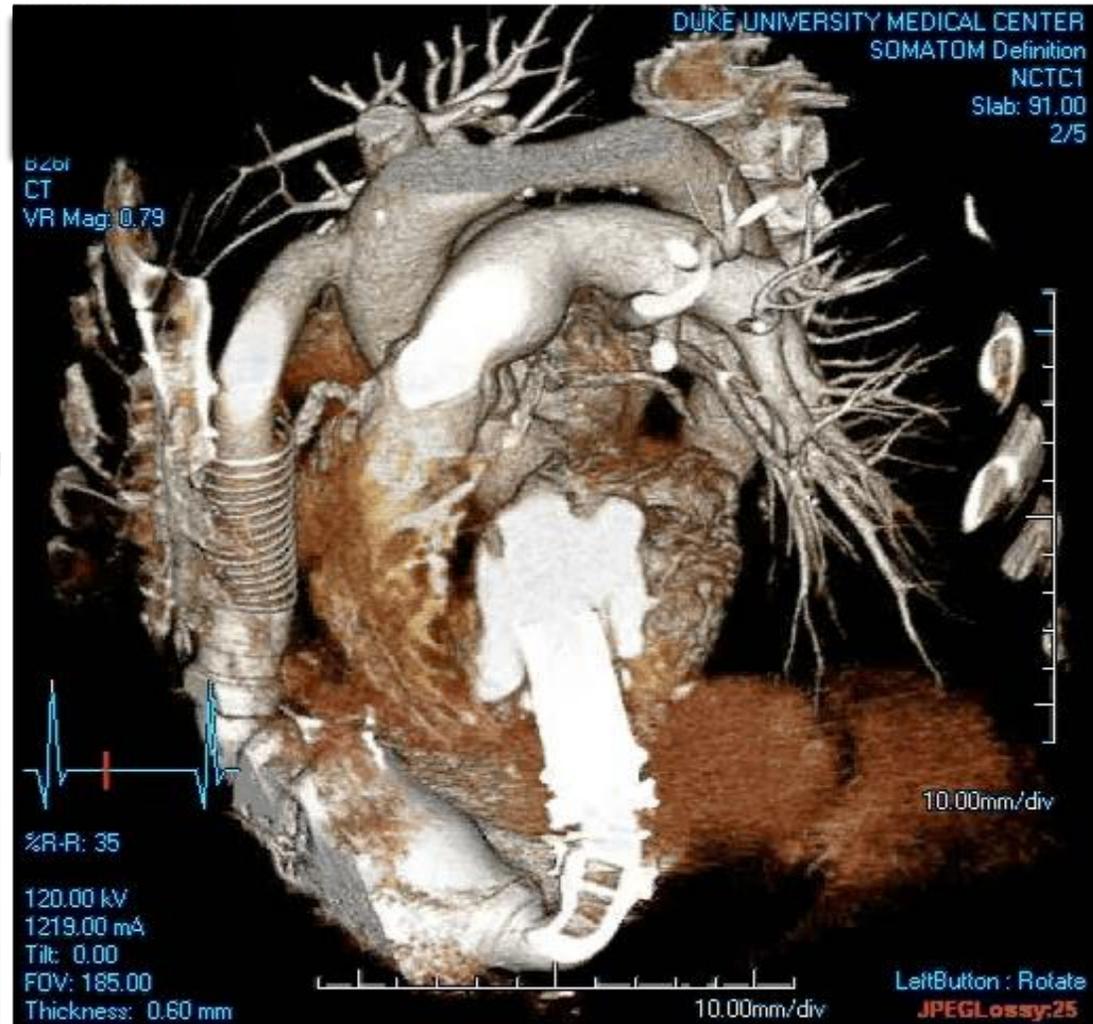
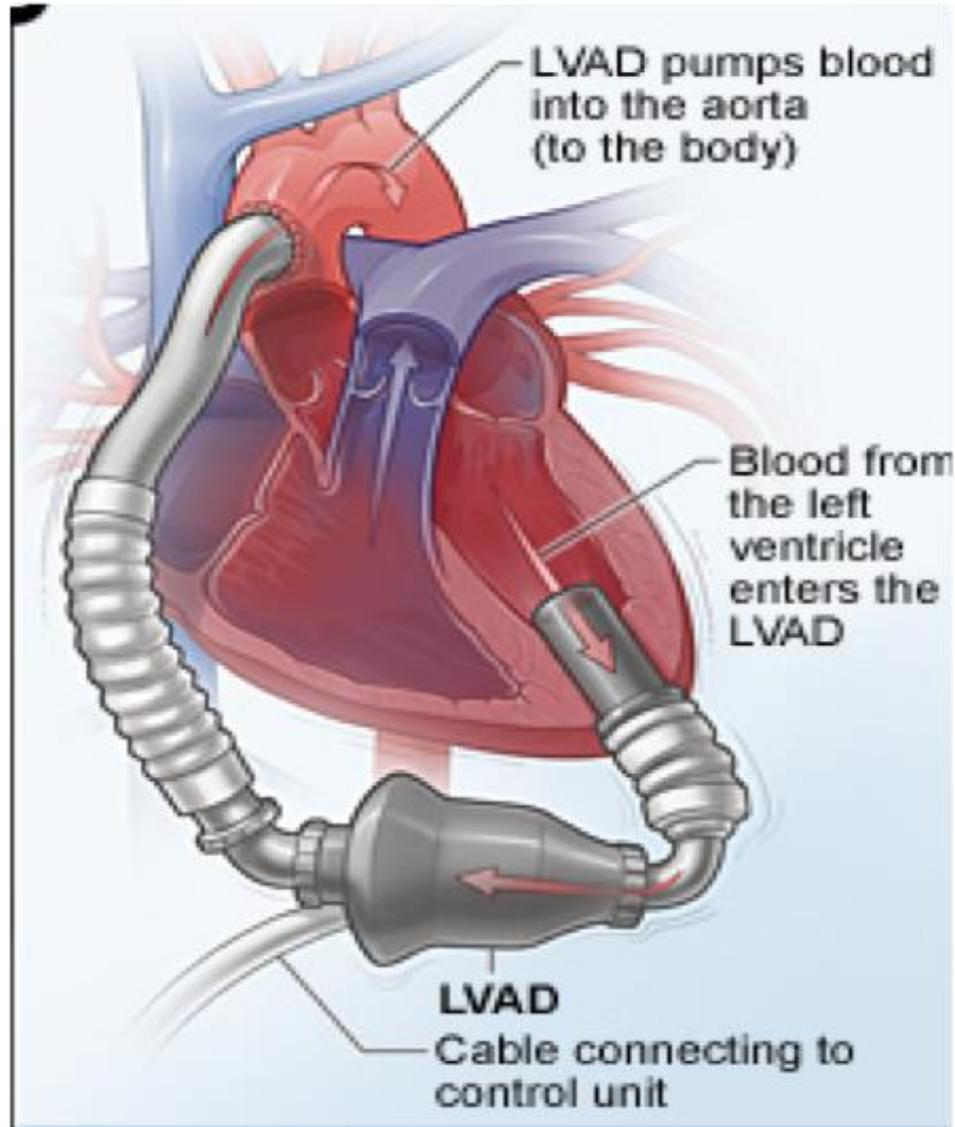
Preservation Method	How It Works	Pros/Cons	Trials (If Applicable)
Static cold storage	Organ placed in preservation solution and 3 bags, and placed in a cooler with crushed ice	Inexpensive, simple Limited preservation time due to ischemic injury Risk of uneven cooling and cold injury	NA
SherpaPack	Sterile, pressure-controlled device that maintains temperature between 4° C and 6° C	Decreased risk of cold injury Allows longer ischemic times More expensive than ice	GUARDIAN Registry Studies
OCS	Extracorporeal perfusion system that keeps heart around physiological temperature in oxygen- and nutrient-rich solution	Decreased cold ischemia time Superior outcomes for longer ischemic times and extended criteria hearts Allows monitoring of several cardiac parameters Most expensive and resource/personnel-intensive option	PROCEED II trial OCS Heart EXPAND trials



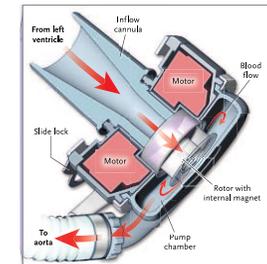
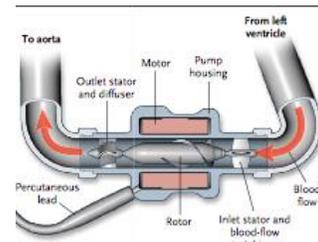
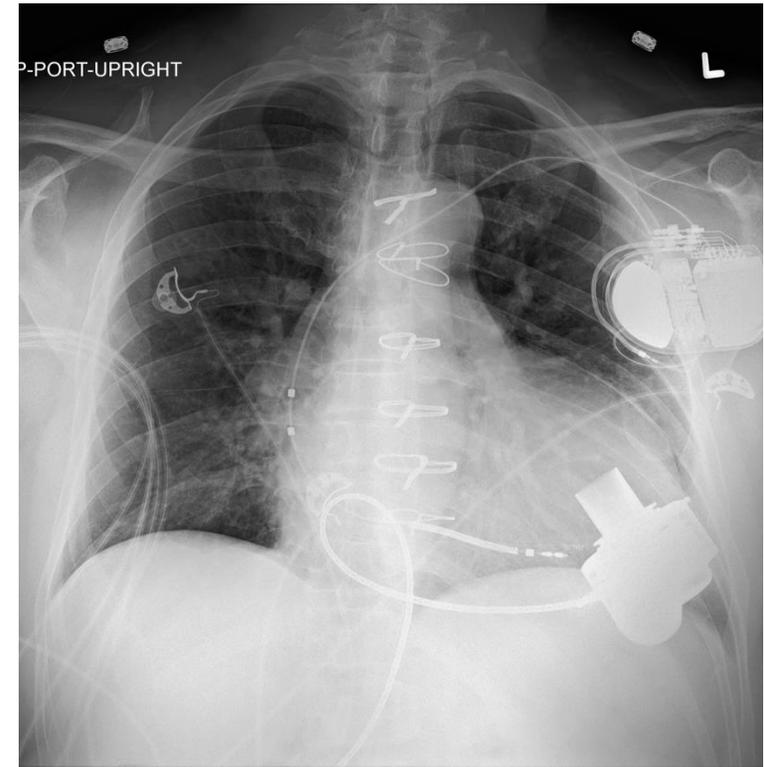
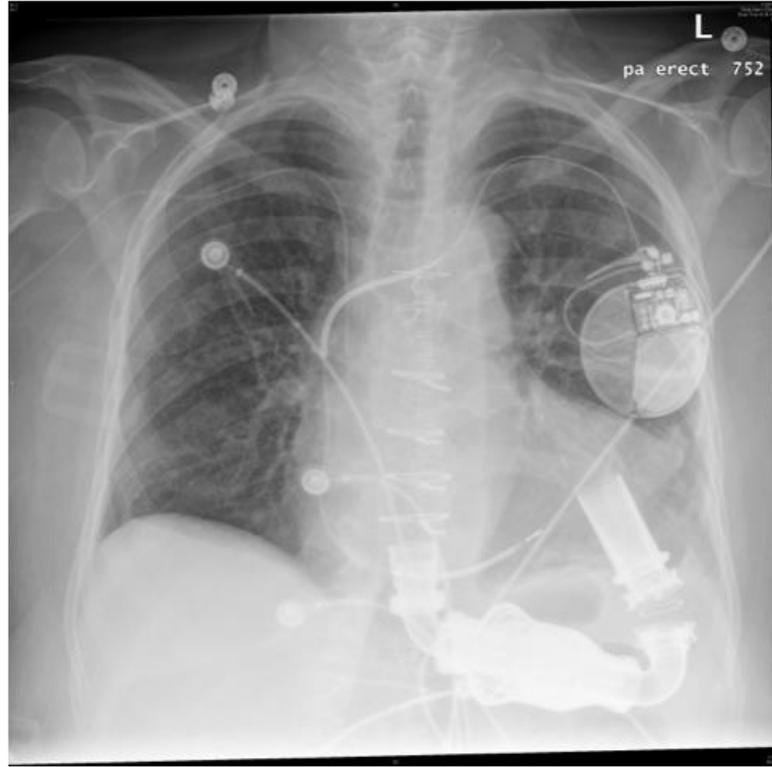
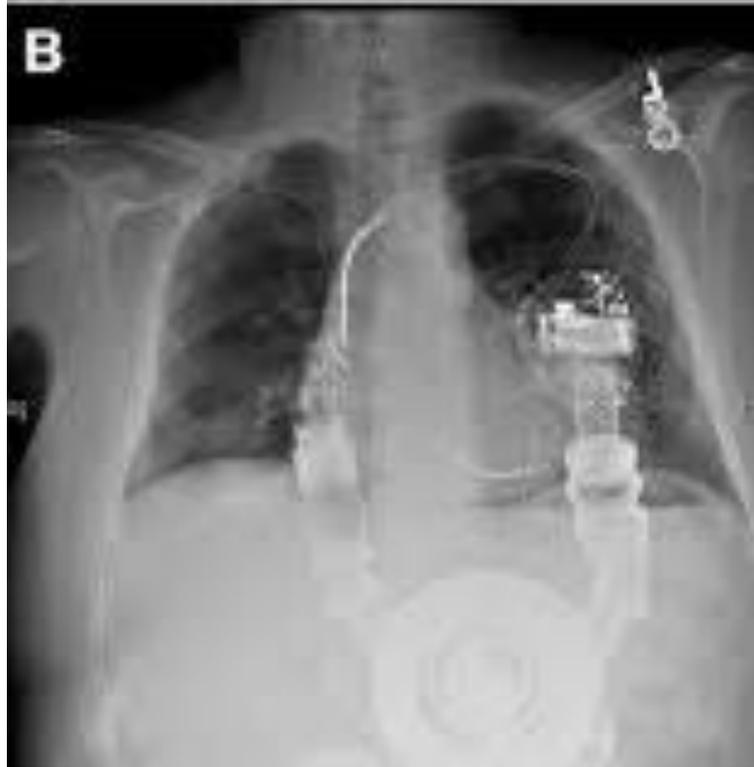
# MCS AS BRIDGE TO TRANSPLANT



# LVAD ANATOMY



# DEVICE EVOLUTION



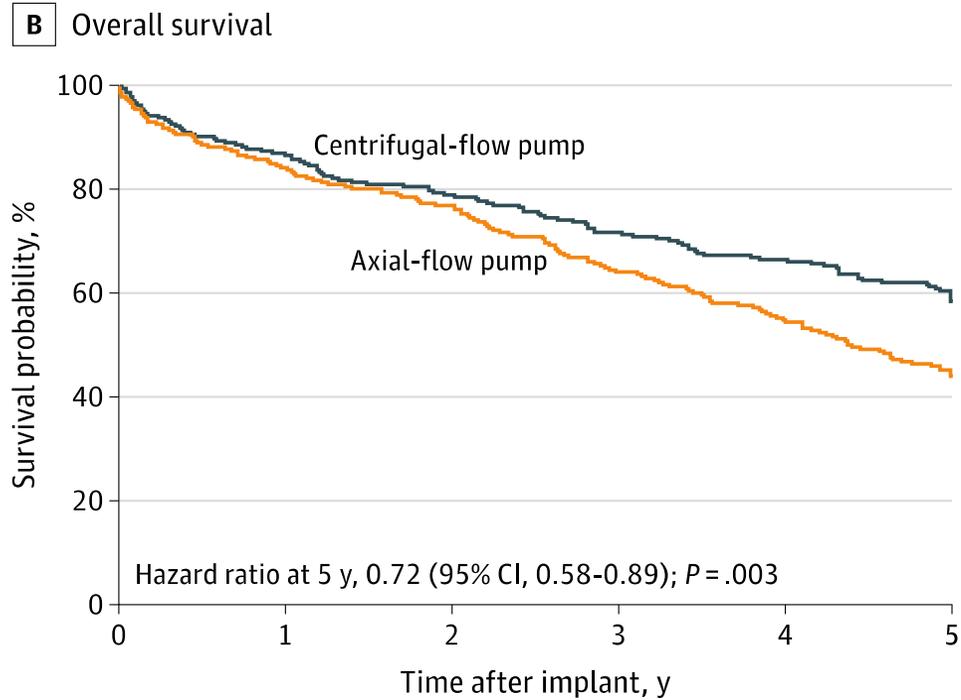
# CENTRIFUGAL CONTINUOUS FLOW LVADS



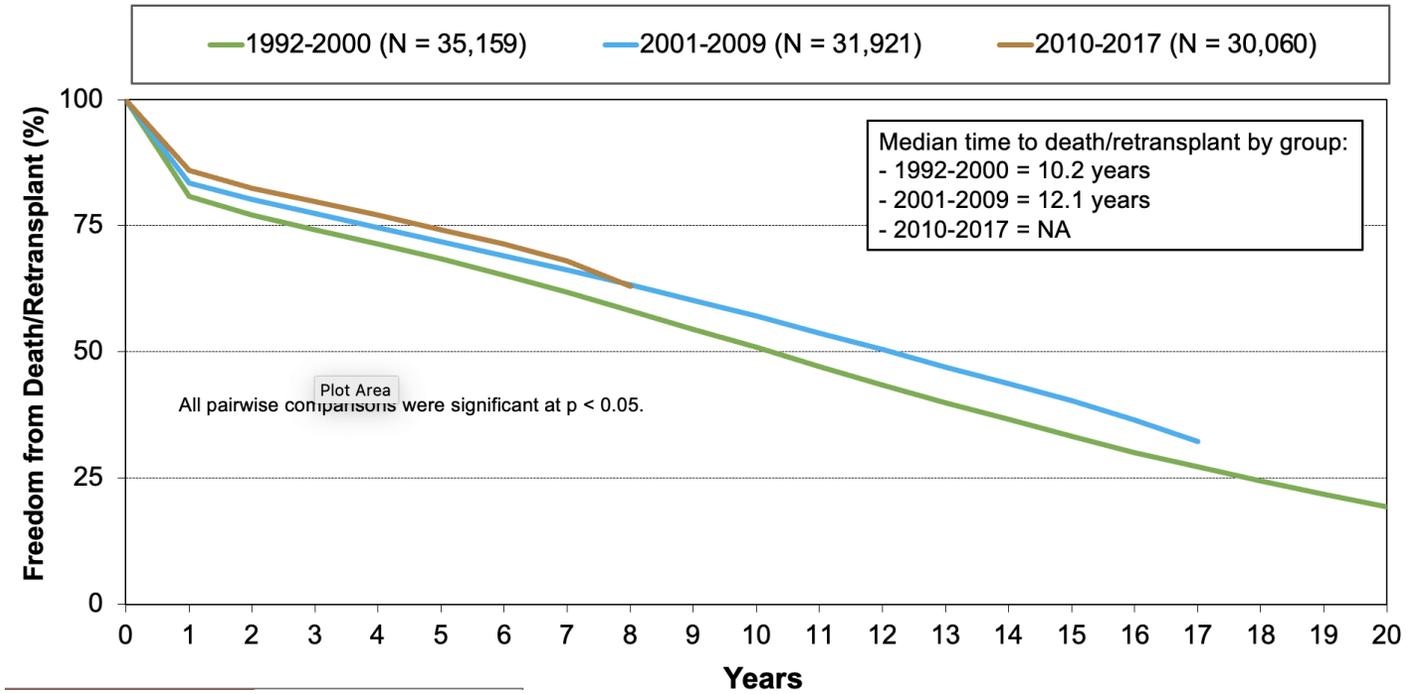
	HeartMate 3	HVAD	EVAHEART 2
Pump design	Cf-LVAD Centrifugal	Cf-LVAD Centrifugal	<b>Cf-LVAD Centrifugal</b>
Impeller	MAG-Lev	Hydraulic (Blood)	<b>Hydraulic (Sterile water)</b>
Pump speed (clinical range)	4000 - 5500	1800 - 4000	<b>1600 – 2200 (Average: 1800)</b>
Inflow ID	19 mm	19 mm	<b>19 mm</b>
Outflow graft ID	14 mm (polyester)	10 mm (polyester)	<b>14 mm (polyester)</b>
Diameter	50 mm	47 mm	<b>51 mm</b>
Pump pocket	Occasionally	No	<b>Required</b>

BrioVAD
Cf-LVAD Centrifugal
MAG-Lev
2800-3200
10 mm
47 mm
No

# 5 YEAR SURVIVAL – LVAD VS HEART TRANSPLANT



Hm3 - 5 year survival 58%

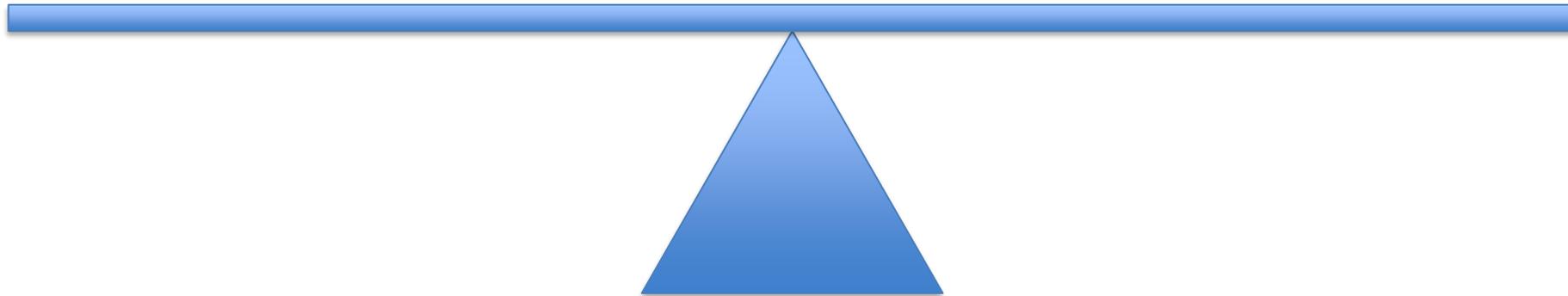


OHT - 5 year survival ~75%

# APPLICATION OF LVAD THERAPY

Improved Survival  
Enhanced QOL  
Symptom control

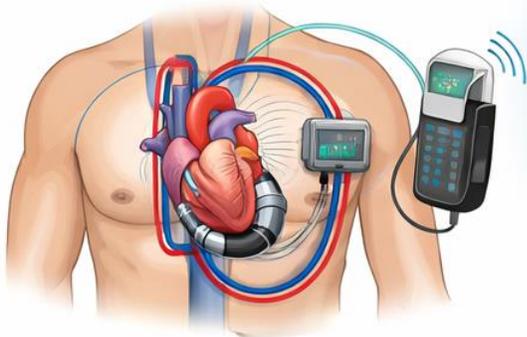
Device-related Infection  
Stroke  
Bleeding  
Arrhythmias  
Pump thrombosis



# IDEAL PUMP FEATURES

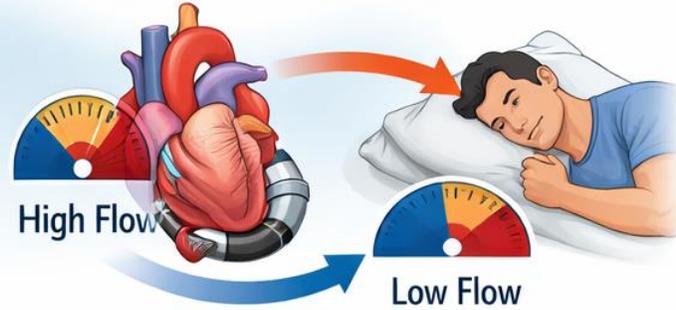
## Fully Implantable

No External Components



## Fully Adaptive

Responds to Physiologic Demands

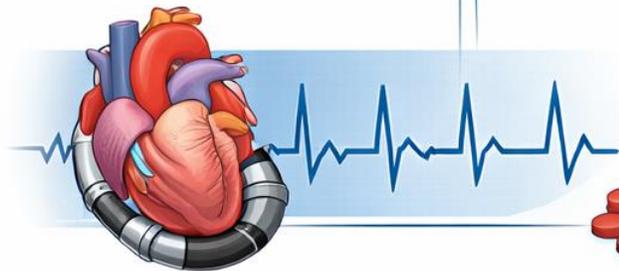


Pumps to support

- LV
- RV
- Biventricular

## Pulsatile Flow

Mimics Natural Heartbeat



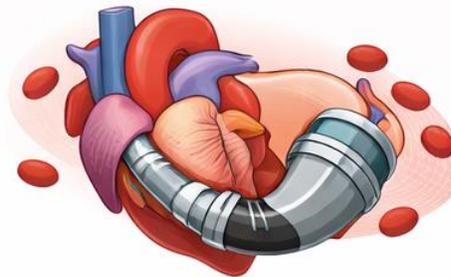
## Limited Anticoagulation

Reduced Risk of Clotting



## Low Blood Trauma

Minimized Hemolysis

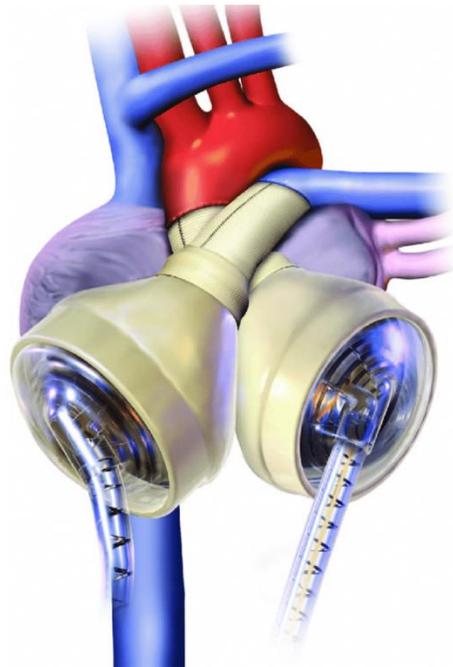


# TOTAL ARTIFICIAL HEART

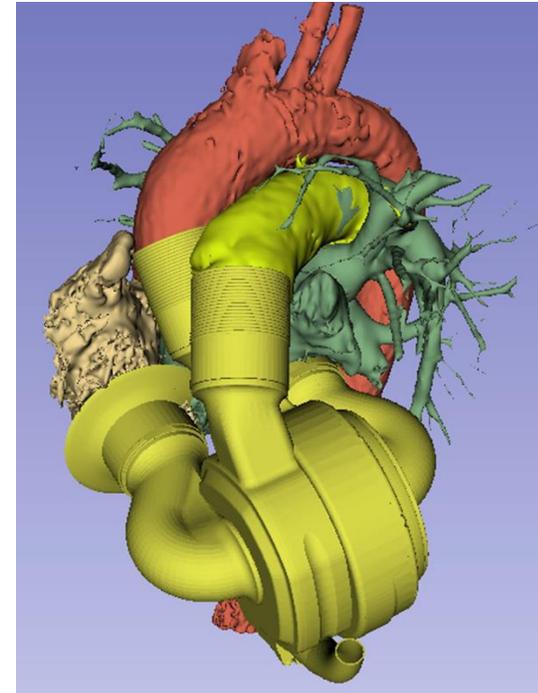
Serves as a Bridge to Transplant in patients with

- Severe biventricular heart failure
- Intractable Ventricular arrhythmias

Syncardia



Bivacor



## BiVACOR TOTAL ARTIFICIAL HEART CLINICAL TRIAL ABBREVIATED INCLUSION CRITERIA

1. 18 to 70 years of age
2. Severe, irreversible biventricular heart failure (HF) or has univentricular HF for which LVAD support is not recommended.  
**ISHLT Guidelines for Biventricular Support**
  - A. Biventricular failure with **at least 2** of the following:
    - RVEF  $\leq$  30%
    - RVSWI  $\leq$  0.25
    - TAPSE  $\leq$  14mm
    - RV to LVEDD  $>$  0.72
    - CVP  $>$  15
    - PAPI  $<$  2
    - Grade 4 TR
    - CVP/PCWP  $>$  0.63
  - B. Refractory untreatable, recurrent & sustained VTACH or VFIB
  - C. HF due to restrictive or constrictive physiology
3. Intermacs 2 or 3
4. NYHA IV
5. LVEF  $\leq$  25%
6. Inotrope dependent or has CI  $\leq$  2.2 without inotropes and meets **one** of the following criteria:
  - A. Failure to respond to or not tolerating OMM
  - B. HF for  $\geq$  14 days and is dependent on temporary MCS for  $\geq$  7 days
  - C. HF due to restrictive or constrictive physiology
7. Patient is eligible for cardiac transplantation.



ABSTRACT ONLY · Volume 44, Issue 4, Supplement, S73, April 2025

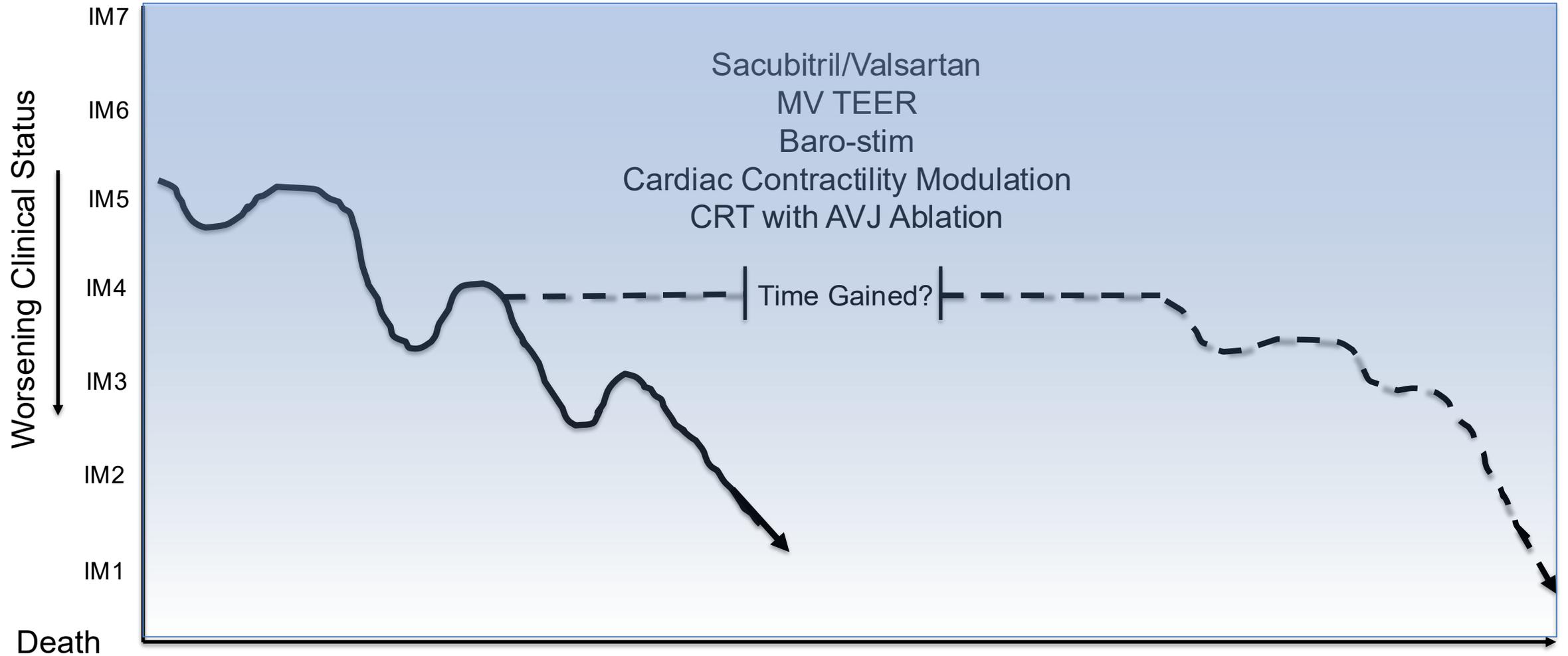
## (148) - Initial Clinical Experience with the BiVACOR Total Artificial Heart

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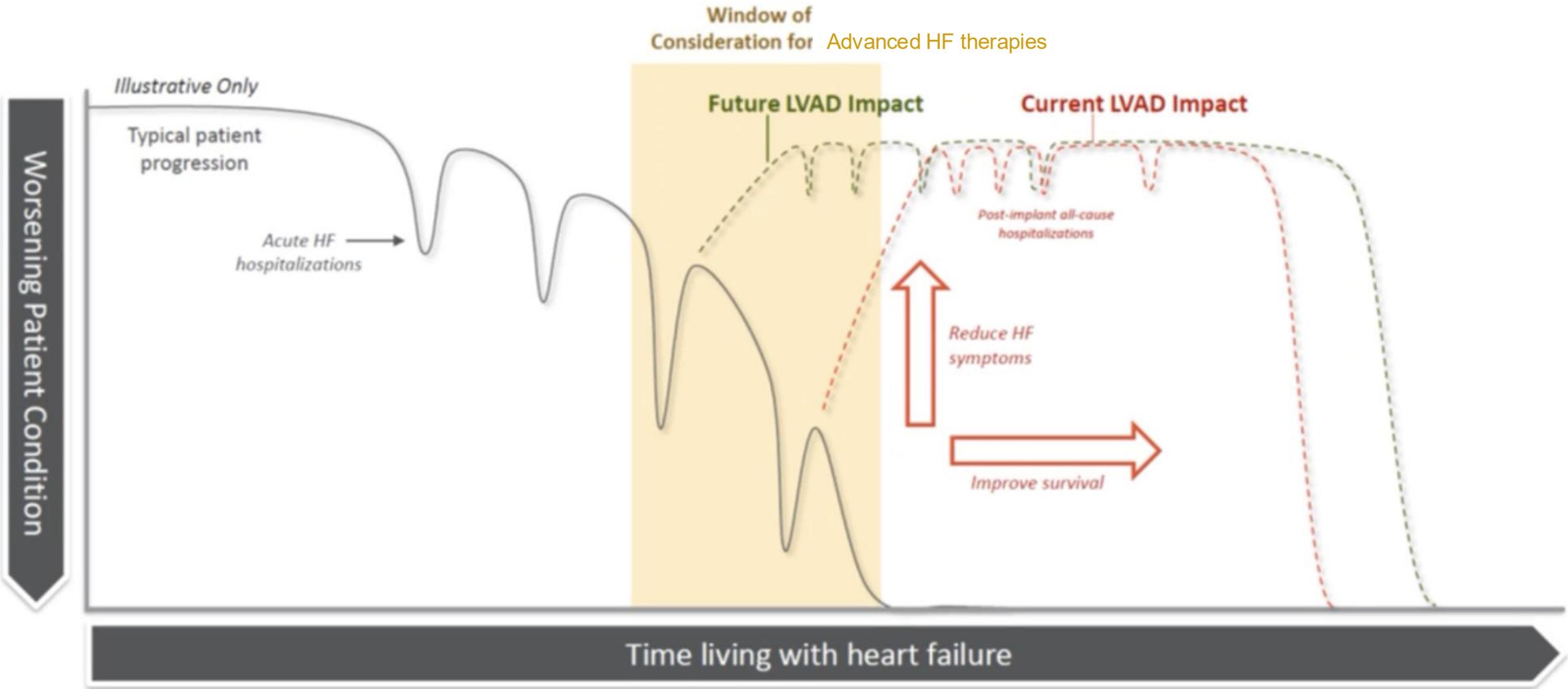
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# CAN WE CHANGE THE TRAJECTORY & FOR HOW LONG?

## “Shifting the Curve”



# SHOULD PATIENTS RECEIVE LVADS BEFORE DETERIORATION?



# TEAM HF TRIAL

## Inclusion Criteria

Patients must have **ambulatory advanced HFrEF** but not yet inotrope-dependent.

### Core physiologic criteria

- NYHA class **IIIB or IV** heart failure Abbott
- **LVEF  $\leq 30\%$**  Abbott
- **Cardiac index  $\leq 2.5$  L/min/m<sup>2</sup>** Abbott

### Evidence of functional limitation

- **6-minute walk  $\leq 350$  m** OR
- **Peak  $VO_2 \leq 14$  mL/kg/min (or  $< 50\%$  predicted)** Abbott +1

### Clinical severity

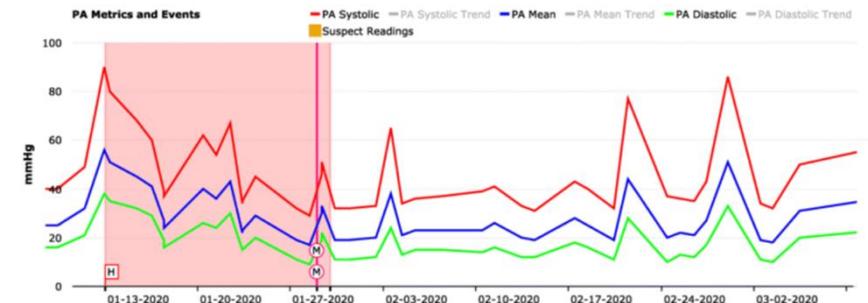
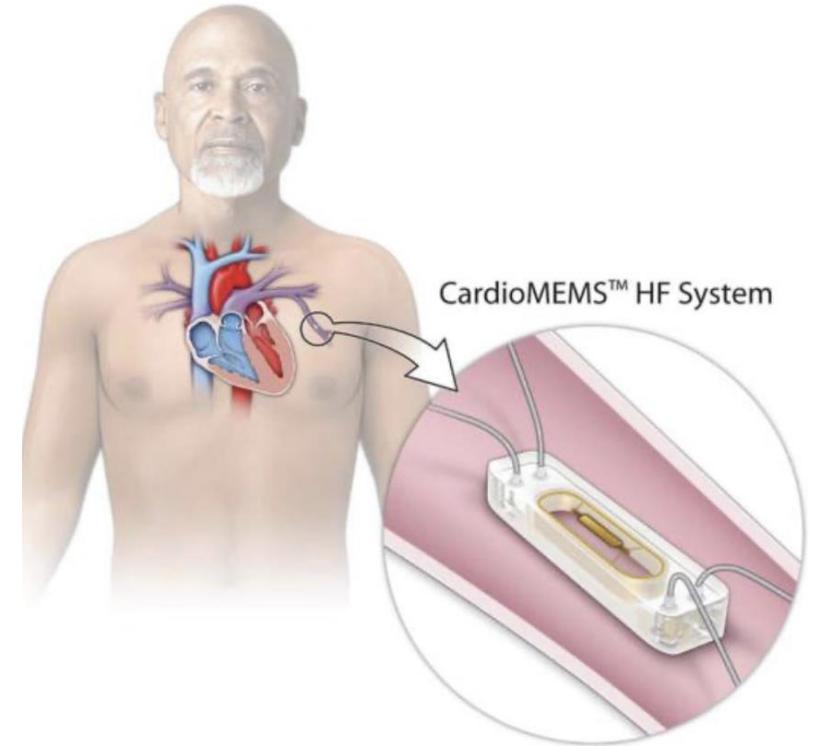
- **$\geq 1$  HF hospitalization within the past 12 months** Abbott

### Hemodynamic monitoring

- **Implanted CardioMEMS PA sensor (existing or implanted during study)** Abbott +1



## INTERMACS 4-7



# CLASS IV HEART FAILURE – A META-STABLE STATE



INTERMACS 4-7

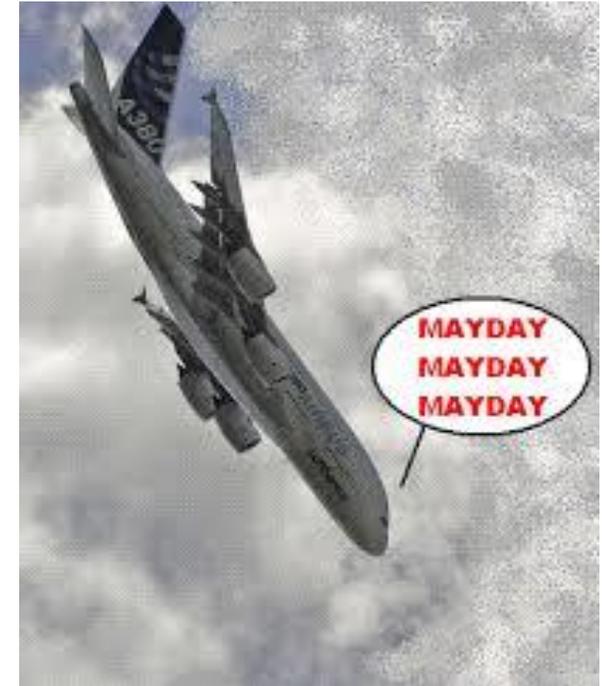
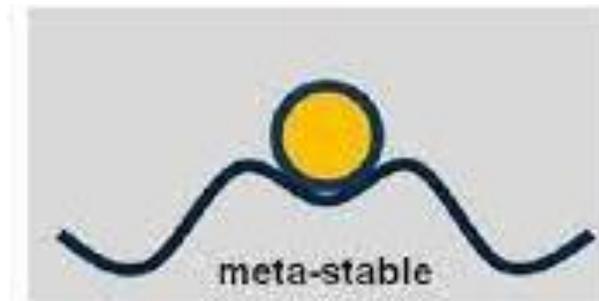


Refer to Advanced HF Clinic

Routine CPET  
RHC  
ProBNP



If required inotropes or MCS to rescue how stable for how long?



INTERMACS 1-3

THANK YOU FOR YOUR ATTENTION

